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Page 1
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               UNITED STATES DISTRICT COURT
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                                                                                              APPEARANCES
               NORTHERN DISTRICT OF INDIANA
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              Plaintiffs,
                                                                        8
                                                                                   Anne Ricchiuto, Esq.
                         ) CASE NO.
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                                                                                   FAEGRE DRINKER BIDDLE & REATH, LLP
                        ) 1:21-cv-238-DRL-SLC
                                                                                   300 North Meridian Street, Suite 2500
       THE TRUSTEES OF INDIANA
                                                                                   Indianapolis, IN 46204
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       UNIVERSITY,
                                                                                   anne.ricchiuto@faegredrinker.com
              Defendant.
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            The deposition upon oral examination of
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       COLE BEELER, M.D., a witness produced and sworn before
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       me, Patrice E. Morrison, RMR, CRR, Notary Public in
                                                                      16
       and for the County of Marion, State of Indiana, taken
                                                                      17
       on behalf of the Plaintiffs at the offices of
       Stewart Richardson & Associates, One Indiana Square,
                                                                      18
        Suite 2425, Indianapolis, Indiana, on July 7, 2021, at
                                                                      19
        1:02 p.m., pursuant to the Federal Rules of Civil
                                                                      20
        Procedure.
                                                                      21
                                                                      22
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              STEWART RICHARDSON & ASSOCIATES
                                                                      24
              Registered Professional Reporters
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                    (800)869-0873
                                                     Page 3
                                                                                                                           Page 4
                INDEX OF EXAMINATION
                                                                                    INDEX OF EXHIBITS (cont'd)
                                                                       2
                                                                                            DESCRIPTION
                                                                                                                 PAGE
                                                                             NUM.
                                PAGE
                                                                       3
                                                                             Exhibit 9 Declaration of Peter A.
       EXAMINATION
         Questions By Mr. Bopp:
Questions By Ms. Ricchiuto:
                                                                                    McCullough, M.D., MPH
 5
                                           147
          Questions By Mr. Bopp:
                                                                             Exhibit 10 Peter McCullough CV
                                                                                                                   142
                                          162
                                                                       5
                                                                             Exhibit 11 Declaration of Cole Beeler, M.D. 10
 8
                 INDEX OF EXHIBITS
                                                                       6
       NUM.
                      DESCRIPTION
                                            PAGE
                                                                             Exhibit 12 Emails between Fauci and Angel
10
                News at IU printout -
       Exhibit 1
                                                                                    March 2020
              Cole Beeler Bio
                                                                       8
                                                                             Exhibit 14 Printout - CDC data shows that
11
                                                                                    COVID-19 survival rate for
       Exhibit 2 CareDash printout
                                           12
                                                                       9
                                                                                    adults is 99.98%; chances of
12
                                                                                    surviving coronavirus is over
       Exhibit 3 U.S. News Health printout
                                              13
                                                                      10
                                                                                    99.9% for most age groups
13
                                                                             Exhibit 17 Printout - Previous COVID-19
                                                                      11
       Exhibit 4 Cole Beeler CV
                                           17
                                                                                    infection but not Long-COVID is
14
                                                                      12
                                                                                    associated with increased
       Exhibit 5 Thrive by IU Health printout -
                                                                                    adverse events following
15
              Hand-washing tips from the
                                                                      1.3
                                                                                    BNT162b2/Pfizer vaccination
              experts
                                                                      14
                                                                             Exhibit 20 Printout - SARS-CoV-2
16
                                                                                    Transmission From People Without
       Exhibit 6 Thrive by IU printout - Is it
                                                                      1.5
                                                                                    COVID-19 Symptoms
              Safe to Go Out? Helping
17
                                                                      16
                                                                             Exhibit 21 COVID-19 Treatment Guidelines
                                                                                                                       109
              Hoosiers Navigate Reopening
                                                                                    (Last Updated: June 17, 2021)
18
                                                                      17
       Exhibit 7 Abstract - Seroprevalence of
                                                                             Exhibit 22 Hill's Criteria for Causality
19
              severe acute respiratory
                                                                      18
              coronavirus 2 (SARS-CoV-2)
                                                                             Exhibit 23 Analysis of COVID-19 vaccine
20
              antibodies among healthcare
                                                                      19
                                                                                    death reports from the NAERS
              workers with differing levels of
21
              coronavirus disease 2019
                                                                      20
              (COVID-19) patient exposure
                                                                             Exhibit 31 CDC Article - Test for Past
                                                                                                                   129
22
                                                                      21
                                                                                    Infection
       Exhibit 8 Abstract - Clinical
                                          44
                                                                      22
                                                                             Exhibit 33 Report by Dr. Tess Lawrie
                                                                                                                    134
23
              characteristics, outcomes and
                                                                      23
                                                                             Exhibit 36 Printout of retweet by
                                                                                                                 103
              prognosticators in adult
                                                                                    Dr. Beeler
              patients hospitalized with
24
                                                                      2.4
              COVID-19
                                                                             Exhibit 37 Email between Fauci and Burwell 106
                                                 EXHIBIT
25
                                                                      25
                                                                                    February 2020
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Page 5 Page 6 1 THE REPORTER: My name is Patrice Morrison, an 1 Q And you've been designated as an expert witness in 2 2 associate of Stewart Richardson & Associates, this case. What were you asked to do? What was 3 Indianapolis, Indiana. Today's date is July 7, 3 your task that you were asked to assume? 4 MS. RICCHIUTO: Object to the extent it calls 4 2021. The time is 1:02 p.m. This deposition is being held at the offices of Stewart Richardson & 5 5 for attorney-client privilege. 6 Associates, One Indiana Square, Suite 2425, 6 Q Yes, and you don't need to answer --7 Indianapolis, Indiana. The deponent is Cole 7 A Still answer? 8 8 Beeler, M.D. Q -- about any communications you had with your 9 9 Will counsel please identify themselves and lawyer, so if you -- that is --10 10 any persons present with you for the record. A So can you -- I'm having trouble understanding the 11 MR. BOPP: James Bopp, Jr., for plaintiff. 11 question. Can you rephrase or ask another way for 12 MS. RICCHIUTO: Anne Ricchiuto for Indiana 12 13 13 University. Q Well, you've prepared an expert report; is that 14 COLE BEELER, M.D., 14 correct? 15 having been first duly sworn to tell the truth, the 15 A For this case. Is that --16 whole truth, and nothing but the truth, was examined 16 Q Yes. 17 and testified as follows: 17 A Yes. 18 **EXAMINATION** 18 Q All right. And why did you prepare that report? 19 BY MR. BOPP: 19 In other words, what was the task you were asked to 20 20 Q Can you state your full name, please. assume? 21 A I was asked to provide a rebuttal to the expert 21 A Cole Beeler. 22 Q And with whom are you employed? 22 witness on the plaintiffs' side and to address the 2.3 A I'm dual employed by both the Indiana University 23 rationale behind the vaccine mandate at Indiana 2.4 Health as well as Indiana University School of 24 University. 25 Medicine. 25 Q And when you say the, I think you said plaintiffs' Page 7 Page 8 1 expert, that's Dr. Peter McCullough? 1 of the medical response team, and I was the 2 2 A That's the document that I received. director of symptomatic testing for that group that 3 Q And what do you do for IU School of Medicine? 3 functioned as a branch of the Indiana University 4 4 A I have a number of responsibilities. I am chiefly Restart Committee. 5 5 Q And what was the Restart Committee? What was its employed in the division of infectious diseases. I 6 6 spend about 30 percent of my time seeing inpatients charge? 7 7 A When COVID was discovered to have been an issue and outpatients in clinic for a variety of 8 8 infectious disease-related syndromes and diagnoses. related to potential constituents' illness and how 9 9 we're going to have to approach the semester, the About 50 percent of my time I serve as the 10 director of infection prevention at Indiana 10 group was initially formed, without me actually 11 University Hospital, which is the tertiary care 11 being part of it, to develop guidelines around, 12 referral center for many of the state facilities as 12 after the initial shutdown, how the school could 13 well as our IU Health facilities across the state. 13 safely restart with minimizing the amount of 14 14 I also am the key clinical educator for the infections moving into fall of, gosh, 2020 at this 15 internal medicine residency. I provide education 15 point. 16 for internal medicine residents as well as medical 16 I joined the group as things were ramping up 17 students as it relates to infectious 17 in order to help with the approach to symptomatic 18 disease-related topics. 18 testing fairly short -- shortly after its 19 19 formation, specifically related to the needs around I'm also the associate fellowship director of 20 20 the infectious disease fellowship for postgraduate the integration between the testing that at the 21 internal medicine physicians. 21 time IU Health was providing and the school's 22 Q Do you have any responsibilities to Indiana 2.2 constituency. 23 23 University generally or is it just Indiana Q When was that, that you joined the committee? 24 University School of Medicine? 24 A Oh.

25

Q Approximately.

25

A For Indiana University, I also functioned as part

USDC\_IN/ND\_case 1:21-cv-00238-DRL-SLC\_document 31-29\_filed 07/12/21\_page 3 of 42

Page 10 Page 9 1 1 A Yeah. I'd have to look back for the exact number. (Deposition Exhibit 11 marked.) 2 2 Q Is this the declaration you prepared as a but I want to say it was probably around May of 3 3 2020. designated expert for IU in this case? 4 4 Q Okay. And you were in charge of a subcommittee of A Yes, sir. 5 5 Q Now, I note in the declaration that you don't claim the committee which is involved with symptomatic 6 6 any particular area of expertise. Is that correct? testing. 7 A That's right. 7 MS. RICCHIUTO: Objection. Misstates the 8 Q Go ahead. 8 document. 9 9 A That's correct. A I have expertise in internal medicine, infectious 10 10 disease, public health, and hospital epidemiology. Q All right. And what is symptomatic testing? 11 A Symptomatic testing is the -- when we have 11 Q But my question was about your declaration, that 12 individuals who develop symptoms of upper 12 you didn't claim any particular area of expertise 13 13 respiratory infection or really any symptoms that in your declaration. Is that correct? 14 MS. RICCHIUTO: Objection. Asked and answered 14 are concerning to them at all, we needed to develop 15 infrastructure by which they were able to get COVID 15 and misstates the document. THE WITNESS: Do I still answer that? 16 testing, and then build that resulting 16 17 infrastructure so if they were positive or negative 17 MS. RICCHIUTO: Yes. 18 18 into a contact tracing system. Q I'm sorry, I couldn't hear you. 19 19 Q And so then that was your area of responsibility A I have expertise in infectious disease, hospital 20 with the Restart Committee? 20 epidemiology, internal medicine, and public health. 21 A Yes. 21 O And none of that is listed in the declaration, is 22 Q All right. Now, in looking -- well, let me show 22 23 you what's been marked as -- she will mark as 23 A I would have to rereview. 2.4 Beeler Exhibit 11. I'm afraid I'm going to jump 24 MS. RICCHIUTO: Objection. Misstates the 25 around a little bit, so Beeler Exhibit 11, please. 25 document. Asked and answered twice. Page 11 Page 12 1 MR. BOPP: You may answer. 1 student education." Correct? 2 A I'd have to rereview, to take a look at it. 2 A Correct. 3 Q We have the time, don't we? 3 Q Let me also show you what's been marked as Beeler 4 4 Exhibit 2. A I mention my board certification in internal 5 medicine and infectious diseases, mention the 5 (Deposition Exhibit 2 marked.) 6 Restart Committee. I do not mention the infection 6 Q Are you familiar with your listing on CareDash? 7 7 prevention. A No. 8 Q Okay. Thank you. Now, I will represent to you on 8 Q Well, if you turn to page 2, toward the bottom you 9 IU's website where you're listed as a professor 9 will see Overview -- and by the way, on page 1, is 10 that you have a bio. The problem is when you try 10 that you? Is that your picture? 11 to copy it, it changes and you can't get what you 11 A Yes. 12 want. And it asks -- you list specialties, and it 12 Q All right. On page 2, under Overview, it says "is 13 says infectious diseases. Is that correct? 13 an infectious disease specialist." That's in the 14 A That's correct. 14 first line. And then in the third line, it says 15 Q Now, there are other sources of where you have 15 "As an infectious disease specialist, he may 16 claimed expertise. Let me show you what's been 16 specialize in Acquired Immune D Syndrome (AIDS) and 17 marked as Beeler Exhibit 1. 17 Chronic" -- and I have no idea how to pronounce 18 (Deposition Exhibit 1 marked.) 18 that. How do you pronounce that? 19 Q And of course I will represent to you that I 19 A Rhinitis. 20 obtained this exhibit off the IU website. And if 20 Q -- "Rhinitis, in addition to other conditions." Is 21 you will look in the middle -- that is you; right? 21 that correct? 22 A Yes. 22 A Infectious disease physicians are trained in a 23 Q Okay. And in the middle, it says "Areas of 23 broad spectrum of infections, including those 24 expertise." It says "Infectious diseases, 24 listed. 25 infection prevention, influenza, flu, medical

25

Q All right. And then let me show you what's been

Page 13 Page 14 1 marked as Exhibit 3. 1 A No. 2 (Deposition Exhibit 3 marked.) 2 Q Okay. Where on those exhibits does it say you're a 3 3 Q And this is the U.S. News Health website on specialist or an expert on COVID-19? 4 4 physicians. It says a Dr. Cole B. Beeler. Is your A It says a broad --5 5 middle initial B? MS. RICCHIUTO: Object to form. 6 6 A It says a broad array of diseases caused by germs. A Yes. Q All right. And it says "Indiana University Health 7 7 O So you're an expert on every single one? 8 8 Medical Center," the address, a list of experience. MS. RICCHIUTO: Object to form. Misstates the 9 9 You're a male, six to ten years of experience. Is testimony. that all correct? 10 10 A We have to be experts in all infections that can 11 A Yes. 11 infect pathogens in order to care for patients in 12 Q All right. It says an Overview. You're an 12 hospitals and outpatient sites. 13 infectious disease specialist. Is that correct? 13 Q So you're claiming a special expertise in COVID-19 14 14 infections? 15 15 A I'm board-certified --Q And then under Specialties, it says "Infectious disease," and it says "Infectious disease 16 MS. RICCHIUTO: Object to form. 16 17 specialists deal with a broad array of diseases 17 A Sorry. I'm board-certified in infectious diseases and have been trained in multiple different 18 caused by germs, ranging from flu to hospital 18 19 acquired infections to pneumonia." Is that 19 pathogens, including COVID-19. Q If you're a specialist in every single infectious 20 20 correct? 21 21 A Yes. disease, why are you listed as having a specialty 22 Q Now, you acknowledge that none of these listed you 22 in AIDS and chronic rhinitis? 23 MS. RICCHIUTO: Objection. Misstates the having a specialty in COVID-19. Isn't that 23 2.4 2.4 correct? testimony. Misstates the document, that the 25 MS. RICCHIUTO: Object to form. 25 witness said he has never seen before, didn't Page 15 Page 16 contribute to. 1 1 trained as infectious disease physicians on themes 2 2 You can answer. and motifs related to how diseases transmit 3 A I think these forms, to be honest with you, were 3 themselves, how to protect the public with the 4 4 generated by someone outside that has no knowledge knowledge of those disease processes, how to 5 5 of what my expertise actually is, and these are respond in general to viruses that do not have 6 probably stereotyped responses. 6 clear treatment recommendations. 7 7 Again, infectious disease physicians take care So on that foundation of virology, immunology 8 8 of every potential infection that could, and is was built a consistent literature approach. My 9 9 known to infect humans, and have to have expertise personal strategy during the beginning of the 10 in those areas. We are the only physicians that 10 pandemic was to review literature on a daily basis, 11 have that accountability, and because of that it is 11 usually in the morning. It was slow to start out 12 a subspecialty that we get board-certified in. 12 with. It was extremely fast as things ramped up. 13 So even though they list these things, it's 13 But my special strategy was to review all 14 within the realm of infections where we are 14 literature that had been released in the previous 15 accountable to and have to be tested on and see 15 24 hours with the use of a website called LitCovid. 16 patients in relation to, but this is a very limited 16 LitCovid is a curated site that files new 17 list of all the diseases that we are trained in. 17 literature as it's published into various 18 Q Now, how did you develop whatever information you 18 categories: Transmission, pathogenesis, 19 have with respect to COVID-19 virus and the 19 treatments, infection prevention, and epidemiology. 20 20 infections that it can cause? How did you gain I used that to organize my -- and develop my own 21 that information or knowledge? 21 literature base that was utilized by the division 22 22 A Okay. So I would say that understanding of the of infectious diseases. I continued to use that 23 23 virus first starts with training in virology and website in order to help grow my knowledge base. 24 the breadth of infectious diseases, so even though 24 I also read various journals that are maybe 25 this is a novel virus, we were trained, or we are 25 tangentially related to COVID, specifically as it

USDC\_IN/ND\_case 1:21-cv-00238-DRL-SLC\_document 31-29\_filed 07/12/21\_page 5 of 42\_

Page 17 Page 18 1 relates to virology and immunology, to continue to 1 A I will go up through promotion instead of through 2 develop those skill sets. 2 3 Q So have you treated COVID basic patients? 3 Q And what is the difference? 4 4 A Yes. A Tenure is for predominant bench researchers. 5 Q And how many? 5 Promotion is for people who are focused on clinical 6 A Oh -- approximately? 6 service. It has different -- it has different --7 Q Yes. Of course. 7 it has a different mechanism by which you're able 8 A I'd say more than a hundred. 8 to meet criteria to rank up. 9 9 Q Have you treated them as outpatients or inpatients? Q Now, is this the first position, I mean the entry 10 10 A Both. position, assistant clinical professor, or is there 11 Q And that is over the period of the pandemic to now. 11 a lower rank? 12 A I did not treat COVID before the pandemic. 12 A No. This is --13 13 Correct, yes. MS. RICCHIUTO: Object to form. 14 Q Okay. Let me show you what's been marked as Beeler 14 A After graduation, the first job as an infectious 15 Exhibit 4. 15 disease physician in the School of Medicine, you 16 (Deposition Exhibit 4 marked.) 16 start out as an assistant and then to associate and 17 Q Now, this Exhibit A that you attached to your 17 then to full professor. 18 expert report, which is Exhibit 11, which is your 18 Q All with the clinical part of the name; right? 19 19 curriculum vitae. A Correct. 20 A Yes, sir. 20 O And what are the criteria that will be used to 21 21 Q Now, this identifies your rank at IU School of promote you to associate clinical professor? What 22 Medicine as assistant clinical professor. Is that 22 would be --23 correct? 23 MS. RICCHIUTO: Objection. A That's correct. 2.4 24 Go ahead. 25 Q Are you on a tenure track? 25 A It's extremely complex, but it's a process I'm Page 19 Page 20 1 going up for this year. It has to do with 1 Q -- as part of your either application or the 2 2 qualifying, or explaining your service to the consideration for your promotion? 3 university, what it is that you did that brought --3 MS. RICCHIUTO: Objection. I don't know who 4 4 brought health to as many people as possible. It them is. 5 5 also requires you to document how you approach to A They -- if by "they" you mean the leadership for 6 the university's mission. You have to also have 6 the School of Medicine, they all know me. But I 7 7 have not spoken with anyone about this, and qualifications in either research or education as a 8 8 secondary area of expertise in order to meet actually I haven't even turned in my application 9 9 criteria. And then the last part of that, from yet. It's due in October, November. 10 going to assistant to associate, is that you need 10 Q Okay. Will you include this in your application? 11 to show promise for future development. So a 11 12 trajectory in your career path. 12 Q Are they aware that you're doing this? 13 Q When were you first eligible for consideration for 13 MS. RICCHIUTO: Objection. Asked and 14 14 promotion? answered. 15 15 A This year. A I don't know. 16 Q Now, will the fact that you have provided an expert 16 MR. BOPP: And Anne, you're entitled to make 17 report and testified in support of IU's mandate 17 every objection you want, but I just want you to 18 policy be considered in whether or not you're 18 know that you're adding at least about a third more 19 19 promoted? to the time that we are spending by your 20 MS. RICCHIUTO: Objection. Calls for 20 objections. And frankly, Anne, you know, I can get 2.1 speculation. 21 more time. If you're not making objections that 22 22 A They don't -- they don't look at that. the court views as warranted and meritorious, I 23 Q Okay. Have you presented -- have you informed them 23 would expect them to provide us more time. So I 24 of that role that you are playing --24 just implore you to let us finish this today if at 25 all possible. MS. RICCHIUTO: Objection. 25

USDC IN/ND case	e 1:21-cv-00238-DRL-SLC	document 31-29	filed 07/12/21	page 6 of 42

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MS. RICCHIUTO: We will be here until 6:00. 1 2 not counting breaks, Jim. I implore you to ask 3 unobjectionable questions if you want it to go more 4 quickly. I'm very comfortable with my objections 5 and I appreciate the coaching, but I think I've got 6 it handled. So thank you.

MR. BOPP: All right.

Q I am looking at your resume, and starting on page 1, and I'm looking for any indication that you have specifically dealt with or did research in or developed any expertise in the COVID-19 virus.

11 12 Would you point to the first entry that would

13 indicate that.

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14 MS. RICCHIUTO: Objection. Misstates the 15 testimony and the document.

16 A So Indiana University School of Medicine, Director 17 of Symptomatic COVID Medical Response Team.

18 Q And where are you, sir?

19 A Sorry. First page still. Also --

Q Just a second because I want to find it on here.

21 A It's the maybe third from the last line. It's the 22 last thing in my appointments.

23 Q No wonder I can't find it. Sorry about that. All

24 right. Very good. You've already testified about

25 that, I think. A Yes. Well, I would say that it's assumed that

infectious disease doctors treat and care for COVID

3 patients. To me, it does not merit -- there's not

separate training in coronavirus. It is part of

being an infectious disease doctor.

6 Q I understand that's your position. Thank you.

> Would you continue to look at your resume and advise me where there is other entries that indicate a special either research or training or

involvement in COVID-19.

11 A So there are no training courses in coronavirus 19 12

to my knowledge that have been developed. I have

13 done some research in coronavirus.

14 Let's see. So under Publications on page 9,

15 if you go -- actually, let's do page 10.

16 Q Okay. And where on page 10?

17 A I'm trying to find it. Sorry.

18 Q That's all right.

19 A Sorry. Page 11, third from the bottom. Page 10 on

the bottom.

21 Q Any other research specifically related to COVID-19

23 A That's all that's on this document.

24 Q Thank you.

Now, I found some public statements that you

#### Page 23

have made about the COVID-19 virus, several of 1 2 which were published by IU Health. Let me show you 3 what's been marked as Beeler Exhibit 5.

4 (Deposition Exhibit 5 marked.)

> Q And on the first page, I understand you to be advising here -- correct me if I'm wrong -- is this an important part of preventing the spread of

8 COVID-19 to wash your hands thoroughly enough? Is

9 that correct?

A I believe that hand hygiene is an important aspect

of control of COVID-19. It's probably not the

12 prime. It is not the prime aspect in prevention of

13 transmission, so this was commenting on a facet of

14 the prevention response.

15 Q Do you still advise that to be done?

16 A I advise good hand hygiene for all situations, not

17 just coronavirus 19.

18 Q Okay. Now, let me show you what's been marked as

Beeler Exhibit 6.

20 (Deposition Exhibit 6 marked.)

21 Q Now, do you recognize this article as well? It's 22 an interview of you.

23 A I'd have to review it.

24 Q Published by IU Health.

A I've read it. I can't tell you that I remember the

#### Page 24

Page 22

1 interview process. It's from a really long time

ago, back when we were talking about Stage 2.

3 Q So this is March 8, 2021, when this was published?

A Yeah.

5 Q If you turn to 6, you will see at the second to

last paragraph -- by the way, first let me ask, do

7 you still agree with the opinions you are stating

8 in this interview?

9 A The landscape of our understanding of COVID has

10 changed significantly, so I would have to be asked

11 on the specific opinions to see if the nature of

12 the science has changed around it. My

13 understanding and appreciation of the knowledge

base changes as the literature base changes.

15 Q All right. Now, in March of 2021, where were we on

16 the bell curve of the COVID-19 infection? Were we

17 on the deceleration side or the acceleration side?

18 MS. RICCHIUTO: Object to form.

A I would have to guess. I think that we were

20 decelerating in March.

21 Q Now, if you turn to page 6, second to the last

22 paragraph, you will see a list of smart things to

do. I think you describe wearing masks, keeping a

6-foot radius, washing hands, avoiding large

groups, disinfecting. These are all important

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Page 25 1 things that you should do to protect yourself. 2 Is that what you thought at the time? 3 A Yeah. 4 Q All right. Do you still think that now? 5 A Now, yes, with the exception that we have the 6 vaccine that's also available. 7 Q Now, what you said at that time is the last 8 sentence. "We will only reopen successfully if 9 people follow these guiding principles," which I 10 think you are referring to the list I just gave 11 you: Wearing masks, keeping 6-foot radius, 12 et cetera. Is that right? 13 A Yes, I believe that if there was 100 percent 14 adherence to those, those principles, that we would 15 avoid infections. 16 Q Why do you say there has to be a hundred percent? A Because breaches in any of those processes could 17 18 potentially lead to transmission of the virus. 19 Q Now, so when you -- okay. So when you say reopen 20 successfully, you mean with zero transmission rate. 21 MS. RICCHIUTO: Object to form. Misstates 22 23 A I think it comes down to what we had determined was 2.4 our level of success or what, at the time, my 25 understanding of level of success was, is that, in Page 27 1 going to be transmissions because of breaches in

general, I don't think anyone was saying that we could completely avoid or completely control behavior so that everyone is a hundred percent adherence. There's going to be breaks.

Page 26

Page 28

The goal would be to minimize transmissions to the extent that when there were infections that developed, they could be quickly contact traced, quarantined to avoid essentially large-scale outbreaks.

We had worked through the process of how we were going to define a large-scale outbreak internally, and even though we did see some of these happen, the vast majority of them were able to be controlled with the help of public health departments and more aggressive measures, shutting things down, et cetera.

Q Well, so reopening successfully includes the likelihood, if you will, that there would still be some transmission of COVID-19.

MS. RICCHIUTO: Object to form. Foundation.

Q Is that what you were saying?

A The ideal situation is that there are no transmissions of COVID-19. I think human nature and the fact that we can't 100 percent control behavior, realistically, suggests that there's

going to be transmissions because of breaches in these infection prevention methodologies.

Q I understand what you just said, however, I'm trying to find out what your words mean, okay, and

5 what you were thinking.

6 A Sure.

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will only reopen successfully," and I'm asking you, when you say reopen successfully, do you mean that

Q And it said -- they are quoting you here -- "We

there would be no -- that successful reopening would be no transmissions of COVID-19, or what rate

of transmission would still rate you as a

13 successful reopener?

MS. RICCHIUTO: Object to form.

A Sure. Success to me would be -- and at the time would be not having to close back down because of uncontrolled outbreaks.

Q What would be an uncontrolled outbreak? What does that mean?

that mean?A In the process of contact tracing, if we are not

able to quarantine with enough speed to stop

secondary transmissions, to me that's uncontrolled.

Our mechanisms by which we prevent aren't fast enough to slow down the transmissions.

Q So if you have one, that would be unsuccessful.

A If we had one uncontrolled outbreak?

Q No, one uncontrolled transmission you couldn't control.

MS. RICCHIUTO: Objection. Misstates testimony.

A Yeah, I think that, from our perspective, it would be a trend of uncontrolled outbreaks.

So getting back, the success for us would be how well does the infrastructure that we've built hold up against human behavior.

Q I understand from the IU's briefing that there's 90,000 IU students in all campuses, all right, in the system, 90,000, including graduate school.

How many infections within that population would classify as an uncontrolled outbreak where reopening was not successful?

MS. RICCHIUTO: Object to form.

A Don't have that data.

Q Well, do you have an estimate or percentage or --MS. RICCHIUTO: Objection. Calls for speculation.

A I couldn't. You know, I can tell you anecdotally that we did see cases -- you know, I was part of a team of four physicians that on a daily basis -week daily basis reviewed all the positive COVID USDC\_IN/ND\_case 1:21-cv-00238-DRL-SLC\_document 31-29\_filed 07/12/21\_page 8 of 42

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cases and then looked backwards to see where it came from; did web -- contact tracing webs to figure out if we could identify where things had developed. I would say it would be the minority that we felt, either we couldn't explain where they got it or that we weren't able to move fast enough to prevent a secondary transmission.

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I'd say that not being able to explain where the patient got it was much more common than not being able to move fast enough in order to slow it down, even though that did become an issue maybe around the wintertime, as Indiana was surging. In the fall, wintertime period, we got slowed down by the volume, and there were secondary transmission cases.

Q If because of uncontrolled transmissions of the virus that you can't control, secondary transmissions, and you said you don't have a measurement of when you would say the reopening is unsuccessful, I mean, do you have any way of measuring that or an opinion on what you would say if somebody asked you has our opening, reopening been successful? And I mean how many secondary transmissions would you need to say no?

MS. RICCHIUTO: Objection. Vague. Compound.

Asked and answered. Misstates testimony.

A Yeah, I don't really know how to answer that. You know, from my perspective, this was a moving target throughout the semester. Our strategy was to be as flexible as we possibly could be as it relates to additions of more aggressive intervention measures but also subtraction if they weren't necessary or were no longer necessary.

And we met weekly with not only amongst -well, we met daily amongst the medical response team but also met weekly with leadership to discuss what the themes were that we were seeing and try to respond to those with directed countermeasures.

So I thought that the process and that flexibility and our mechanism by which we were able to test, I say test hypotheses, but what I really mean we identify a problem, we come up with countermeasures, and we check to see if those countermeasures are working was very successful throughout the school year.

We did have, as an example of that, large-scale athletics outbreaks that happened in some of our regional campuses. This was identified during contact tracing. We quickly found out that we were not able to move fast enough on the cases

# Page 31

before they were being transmitted, usually because of parties, and we just weren't able to fully trace based on the amount of people that were exposed.

And in addition to that, the athletics departments, or the games themselves became a -- or practices became a setting where infection was likely to propagate.

So after building a case and trying to identify the amount of people who had gotten infected by these procedures, made the decision with leadership to slow down athletics practices and events as things were worsening.

We then tested that hypothesis over that time interval, we tested the athletes more aggressively. As the epidemiology and as they came out of their infection windows, we were able to restart the athletics.

So to me that is a successful approach to a pandemic, where as long as you've got countermeasures that are working and you're able to stamp out, I guess, the embers of a fire before it turns into a conflagration and would force campuses to shut down, then that's successful.

I think my main fear at the beginning was that we were going to see widespread transmission that Page 32

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we weren't going to have the personnel available to be able to quarantine and isolate, we weren't going to have enough beds available, we weren't going to have a true appreciation for how much spread was going on just due to lack of data availability, and it never came to that head.

I think, you know, obviously before the semester starts, there's a lot that's unknown, but as we worked through that process we developed a system whereby we were able to control these things as they developed.

Q So your methodology, your decision-making, all the things you just mentioned, resulted in successful strategies in reducing COVID infections at IU? MS. RICCHIUTO: Object to form.

A Yes, I would say that we were successful in avoiding infections of COVID. It could have been much, much worse.

Q Now, when was the IU mandate on requiring COVID vaccinations for all students announced?

A I don't know that date. I'm sorry.

Q I wish I could remember it too. I think it was around March -- I mean May 20th, but... End of May, we could say, I guess.

What was the state of the infection rate for

USDC IN/ND case 1:21-cv-00238-DRL-SLC document 31-29 filed 07/12/21 page 9 of 42

Page 34 Page 33 1 IU students at that time? 1 to what there was at the beginning of March 2020, 2 A We were -- I would have to know the date and I 2 if I said that, would that be, in your view, high, 3 would have to look back at our data, but I believe 3 low, medium, what? 4 4 we were essentially in alignment with the state and MS. RICCHIUTO: Object to form. 5 5 were seeing decreasing epidemiology. A What was the rate in March of 2020? 6 Q Not just -- I was asking more of not the 6 Q It was at the very beginning when we just found 7 trajectory; I was asking you at what level were we 7 8 at IU. 8 A I don't know the rate back then. I would have to 9 9 A I would need clarification on what the levels are. go back and look at what the rolling averages were. 10 10 Q Well, what percent of infection rate was there of Q Did you advise this committee about the trajectory 11 students at the time that the mandate was 11 of the COVID-19 virus and whether and where they 12 12 were and, you know, as a result of severity and announced? 13 13 A I would have to look back at those numbers. therefore what measures needed to be taken? Q Was it high? Medium? Low? Very low? What was 14 14 A I advised the Restart Committee as well as the 15 15 Executive Leadership Committee. MS. RICCHIUTO: Object to form. 16 16 Q You were the one that advised them about the 17 Q Do you have an estimate? 17 infection rates? 18 A Well, I don't know where you would set a high 18 A Yes. 19 versus a medium versus a low threshold. Is it 19 MS. RICCHIUTO: Objection. Asked and relative to where we were previously? Is there a 20 20 answered. Q But you don't know anything about the infection 21 set cutoff? I mean, I can't give you an idea on 21 22 slope, but that's probably all the data I have. I 22 23 would have to pull up the dashboard and tell you 23 MS. RICCHIUTO: Objection. Argumentative. 2.4 exact percentages. 24 Misstates testimony. 25 Q If I said that we had an infection rate comparable 25 A This is obviously a very rapidly changing pandemic. Page 35 Page 36 1 MS. RICCHIUTO: Objection. Asked and I report multiple times a week on numbers that 1 2 week. This is moving so fast that in order to give 2 answered. 3 you an accurate response, I would really feel more 3 A They do not recommend vaccine mandates. 4 4 comfortable going to the data itself as opposed to Q Does the CDC? 5 5 guessing. A None comment on vaccine mandates. 6 6 Q So they haven't recommended it because they haven't Q In your opinion, was the infection rate of IU students such in the end of May 2021 that requiring 7 7 commented on it; right? 8 8 vaccinations for every student was warranted? A They haven't recommended for or against. 9 9 Q Okay. Do they require -- does the CDC or the FDA A Yes. 10 O Why? 10 require their own employees to be vaccinated? 11 A Because there's still COVID out there. We were 11 A I don't know that. 12 still seeing infections, still seeing 12 MS. RICCHIUTO: Objection. 13 13 Q All right. Is there any state in the United States transmissions. 14 14 In addition to that, and this is probably more that has mandated vaccinations for college students 15 of a factor in relation to uncertainty in the 15 for the COVID -- mandate COVID vaccinations for 16 16 college students or above? future, coupled with national guidance that vaccine 17 was the most important thing that we could do for 17 MS. RICCHIUTO: Object to form. 18 prevention, led to these discussions on the 18 A You're talking about state governments mandating it 19 mandate. 19 for a college? 20 20 O Does the CDC recommend vaccination mandate for O Yes. State governments. 21 21 A Not to my knowledge. But I'm not confident there. 22 22 A They do not recommend -- they do not comment on I know there are many universities that are 23 mandated. mandates. They do recommend vaccination for --23 24 Q Do they recommend mandating vaccinations for 24 Q Well, there's 10 percent, I understand there are, 25 everyone? 25 at least. 10 percent of the colleges have done

Page 37 Page 38 1 this. 1 aware of any government entities that have mandated 2 2 Is there any county that has mandated 3 vaccination for the population? 3 Q Now, you mentioned you had two publications 4 4 regarding COVID-19. Let me show you what's been A Not to my knowledge. 5 5 MS. RICCHIUTO: Objection. marked as Beeler Exhibit 7. 6 Q Is there any city that has mandated vaccinations 6 (Deposition Exhibit 7 marked.) 7 7 A Yes, this is one. for their citizens? 8 A Not to my knowledge. 8 Q Do you recognize -- this is an abstract of one of 9 9 Q Other than a few colleges and a few employers, who your papers. Is that this? 10 has mandated vaccinations for their people under 10 A Yes. 11 their authority? 11 MS. RICCHIUTO: Object to form. 12 MS. RICCHIUTO: Objection. Mischaracterizes 12 A Oh, yep. Yep. I'm a co-author on this paper, yes. 13 13 the evidence and lack of foundation. Q Okay. What was the research study that you were 14 14 A Businesses, I would say. doing here? Could you describe it, please? 15 15 A Yes. The main question with this study was in Q I said other than employers. 16 A Oh, sorry. 16 relation to whether or not surgical masks were 17 Q Other than employers, some employers and some 17 protective against COVID-19, was our main question. 18 colleges, who has mandated COVID vaccination? 18 At the time, before this was published, there 19 19 MS. RICCHIUTO: If you know, Cole. There's was still many hospitals that were still using N95 20 20 masks for care of their COVID patients and just in been no establishment that you know every 21 organization. 21 general kind of day-by-day work. 22 MR. BOPP: Don't coach him. 22 As the CDC started shifting what their 23 23 recommendations were, we decided to look at this A I'm trying to think of who would be left out of 2.4 that group, so no employers, no -- so we're talking 24 more systematically. All of our hospitals use 25 about government entities? Is that -- I'm not 25 surgical masks for coronavirus prevention of the Page 39 Page 40 healthcare workers. 1 1 usually two to three ply. They've been certified 2 We felt that if there were failures in the 2 by OSHA. And there's a limited number~-- there's 3 mask, meaning if the mask, the surgical mask was 3 actually a large number of different varieties that 4 4 suboptimal for protection over N95s, then we would you can get of these masks, but like your blue or 5 5 see more COVID in hospitals that had higher yellow surgical mask. 6 prevalence of COVID in the hospitals than in 6 Q Is that one right there that Anne is wearing? 7 7 hospitals that had lower prevalence of COVID. A Yes, that one is a medical mask, yes, or a surgical 8 8 One of the big debates related to healthcare mask. They have different names, but medical or 9 9 worker infections is related to, if they are COVID surgical or isolation mask. 10 positive, are they getting it in the hospital 10 Q Okay. Great. And they worked as well as the N95? 11 related to their care for patients or are they 11 Is that what you said? 12 getting it at home related to what they're doing 12 A We didn't --13 MS. RICCHIUTO: Objection. 13 outside. 14 14 And this study suggested that you were equally A Sorry. We did not compare the surgical masks to 15 likely, if not less likely, in high prevalence 15 hospitals, to have a COVID positive healthcare 16 O Now, what's the protocol for wearing a surgical 16 17 worker, and effectively said that, to me, that 17 mask or an N95 mask in a healthcare facility? 18 masking was a potential viable strategy for 18 A Well, each facility has different protocols. 19 protection of healthcare workers caring for COVID 19 Q Well, what's IU's, let's say? 20 20 patients. A IU Health's protocol for using surgical masks as 21 Q And what kind of -- you said surgical masks. What 21 opposed to N95, we use surgical masks for all 22 kind of mask is that? Or N95. I'm familiar with 2.2 patient care activities. And any patient care 23 N95. What did you mean by a surgical mask? 2.3 areas, healthcare workers are to have surgical 24 A Surgical or medical masks usually have ear loops or 24 masks on at all times. 25 ties -- sorry, gesturing over there -- but they're 25 The N95s, which have a higher filtration

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Page 41

efficiency, are used in situations where there are going to be large aerosols created, and those are

3 related to specific procedures like bronchoscopy,

4 intubation, CPR.

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5 Q Now, you described -- and maybe I'm using the wrong

6 word when I say protocol, but you described when

7 they use it. Is there a protocol about how they

8 use it? In other words, are they reused? I mean,

9 what are they supposed to do? You know, they come

10 into the hospital, they put one on, and then when

11 they're done, what do they do? I mean, are they 12 reused? That's what I'm trying to find out.

13 MS. RICCHIUTO: Object to form. Compound.

MR. BOPP: Because I don't know the right 14

15 word.

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A We do have a reusable protocol both for N95s as well as surgical masks that was adopted from the CDC guidance on this question. There was serious

19 questions about mask availability at the beginning of the pandemic, and we had local shortages as 20

21 well, so it was something that we had to work

22

2.3 Do you want me to work through -- do you want 2.4 me to explain those protocols?

Q No. I'm interested in what instruction the

healthcare worker is given in terms of mask use.

Do they, as a matter of routine, reuse them without

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3 them being cleaned or processed?

4 A Gotcha. So, in general, for the surgical masks,

5 the recommendation was to, as you come into the

6 building -- and this has obviously changed over

7 time. So IU Health just recently switched their

8 protocols, and surgical masks aren't necessary now 9 for vaccinated team members who are in non-patient

care areas. So that's a little bit different.

But the expectation back then was that you would come in, you would get a surgical mask, you would perform all your patient care duties until the surgical mask was either visibly soiled, became wet, or there was any concerns related to the --

16 from the individual related to the integrity of the 17

In addition to that, anytime the healthcare worker was exiting a room with either a coronavirus patient or another viral infection that required masking, they were to doff the mask, take the mask off and get a new mask afterwards.

So the masks were continued throughout the day with the exception of the fact that it was changed over with integrity issues or if they had been in a

Page 43

potentially infectious patient room.

Q And at the end of the day, do they take the mask home or is it disposed of, you know, in a sterile

4 way?

5 A Sterilely discarded.

Q Okay. Now, what did the -- what are healthcare

7 workers told about touching the mask? In other

8 words, is it okay to touch it with your bare hands

9 and go about your business, or do you need to use

10 gloves to protect yourself against what might be on 11

the front of the mask?

12 A So the first recommendation was that we advised

13 against touching your mask but acknowledged that 14 that's almost impossible for anyone who has worn a

15 mask. And if that were to happen, hand hygiene 16

would have to be completed quickly thereafter, 17 ethanol-based hand hygiene, to make sure that

18 anything that got transferred to the hands was

19 neutralized.

20 Q All right. What role did you play in this study?

21 I mean, when I published Law Review, I always used 2.2 to put my name first but I may not have written it,

23 you know what I'm saying? Truth be known.

24 (Discussion held off the record.)

Q But, anyway, what role did you play in the study,

this study?

2 A I did a few separate things. One, I was part of

3 the conceptual design for the study to start out

with. My partner, Lana Dbeibo, functioned as the

5 implementer. I did manuscript review and editing.

Q All right. Let me show you what's been marked as Beeler Exhibit 8, which I think this is your other

8 published research paper.

(Deposition Exhibit 8 marked.)

10 MS. RICCHIUTO: I'd just like the record to

reflect that Exhibit 7 and 8 are just the first

12 page.

13 MR. BOPP: Right. Right. The abstract.

MS. RICCHIUTO: Yes.

15 MR. BOPP: Correct.

Q Is this the abstract for your other paper on COVID?

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18 Q Okay. What was the research that you were trying

to conduct that you report in this paper?

20 A This study was just looking at patient demographics

21 and laboratory findings as it relates to trying to

22 predict what tests are associated with poor

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Q What do you mean what tests are associated with

25 poor outcome? What does that mean?

Page 46 Page 45 1 A Which laboratory findings or patient demographic usually to whatever. Maximum. 2 2 Q What was your role in this study? 3 3 Q Oh, okay. And what were your conclusions? A Manuscript review and editing. 4 4 A That age and gender may impact outcome in COVID-19. Q Now, if you would pull out your report, 5 D-dimer, procalcitonin, and lactate dehydrogenase, 5 Exhibit 11 -- before we get to that, let me show 6 and BNP may serve as early indicators of disease 6 you what's been -- well, in your report, you cite 7 7 the CDC's recommendations fairly frequently. 8 Q Now, when you say "age," what are you referring to? 8 That's my characterization. Is Dr. Fauci the one 9 9 A So age, older age, may be associated with worsening who is the head of the agency, part of the CDC you 10 10 frequently cite to? Is that right? Is that -outcome. 11 Q And when you say "older" -- this is becoming 11 what's his role? 12 personal, but when you say older, what do you mean? 12 A What's the role of Dr. Fauci? 13 13 A In general, the risk factors for severe disease Q Yes. 14 increase after 65. Some studies say 60. At this, 14 A Dr. Fauci's the adviser to the president and head 1.5 we found it at 72.7. 15 of the NIH, NIAIDS. So to my knowledge, even 16 Q Okay. Now, did you examine younger people in this 16 though he does discuss things with the CDC because 17 17 of their overlapping relationships, he does not 18 18 come up with CDC guidelines. A Younger people. Q Well, let's say college-age people. 19 19 Q Okay. Let me show you what's been marked as Beeler 20 A I'd have to look back on what our lowest age group 20 Exhibit 12. 21 was or what our lowest age individual was. But 21 (Deposition Exhibit 12 marked.) 22 college, we were just looking at patients who were 22 Q Now, your paper -- I'm sorry, your study, which is 2.3 23 Beeler Exhibit 8, concerning the indicators of risk admitted to the hospital. 24 O Oh. 24 for COVID infection, was published February of 25 A So that could have been anywhere from, you know, 18 25 2021. Page 47 Page 48 1 1 is consistent with Dr. Fauci's opinion? Let me show you what's been marked as Beeler 2 Exhibit 12, and at the bottom, you'll see an email 2 A I apologize. Can I go back? 3 from Tony Fauci to a Ms. Angel, a March 4, 2020, 3 Q Sure. 4 4 which says "The severe complication of COVID virus A So actually, I would need -- I would need to look 5 5 are heavily skewed towards the elderly and those back at this text, because the Epub date is 6 6 July 2020, and I think we put this together before with underlying conditions." 7 7 Now, that statement, then, is consistent with the new year, so I would have to go back and 8 8 your study, isn't it, that you published and is confirm. I'm not entirely sure when we submitted. 9 9 Exhibit 8? Q Okay. All right. Fair enough. 10 MS. RICCHIUTO: Objection to the extent it 10 So my question was, your paper, Exhibit 8, is 11 11 misstates the date of Exhibit 8. consistent with the statement made by Dr. Fauci in 12 MR. BOPP: The date of what? I'm sorry. 12 this email. "The severe complication of 13 MS. RICCHIUTO: Exhibit 8. 13 coronavirus are heavily skewed towards the elderly 14 MR. BOPP: His email was on March 4, 2020. 14 and those with underlying conditions." Is that 15 Oh, okay. I'm just looking up -- okay. 15 correct? 16 O Will you look at Exhibit 8. 16 A I don't think that our paper identified all of 17 A A? 17 those risk factors, but I agree with Dr. Fauci's 18 MR. BOPP: 8. 18 statement. 19 MS. RICCHIUTO: 8. 19 Q Okay. Now, is it also true that the data supports 20 A Yes. 20 the proposition that college-age students are one 21 Q What's the date of the publication of your paper? 21 of the least -- one of the age populations with the least risk of COVID-19, of adverse effects of a 2.2 A February 2021. 22 23 23 Q All right. Thank you. I think that's what I said, COVID-19 infection? 24 but I could be wrong. 24 MS. RICCHIUTO: Object to form.

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All right. So back to Exhibit 12. Your study

A Relative to other age groups, college-age students

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1	-		_
1	have less chance of mortality than other age	1	adverse effect of a COVID infection than do
2	groups.	2	college-age students?
3 4	Q Now, the only other age group that has less is, isn't this true, is those younger than people of	3 4	A The average older individual has a much higher risk of mortality than the average college-age
5		5	individual.
6	college age?  A That's my understanding.	6	
7	Q Okay. And that it goes up, the risk of an adverse	7	Q Isn't it true that in the state of Indiana it's 600 times more greater risk of those over 85 than those
8	effect of a COVID-19 infection goes up as you go	8	who are college age?
9	through the age groupings toward the highest level,	9	MS. RICCHIUTO: Object to form.
10	which is people over 85. Is that correct?	10	A That number seems reasonable to me, but I would
11	A The risk of mortality goes up.	11	have to confirm. I don't know that number.
12	Q Okay. And then what's the relative risk of	12	Q And isn't it true that in the United States, as a
13	mortality between college-age students and those	13	whole, that the risk of mortality for those over 85
14	over 85?	14	is 800 over 800 times more than college-age
15	A Are you looking for an exact number?	15	students?
16	Q An estimate.	16	A I'd have to look at that, those numbers. I don't
17	A The relative risk?	17	know it off the top of my head.
18	Q Uh-huh.	18	Q So what I I don't want to know your opinion on
19	A Can I say lower?	19	this, but the way I look at that is, people who are
20	Q Lower among college age?	20	older you have a, what would you call it, a risk
21	A What's the relative risk of a college-age person	21	profile. The older you are, the greater the risk.
22	having a bad outcome versus an elderly person	22	And we're talking orders of magnitude greater risk.
23	having a bad outcome?	23	Hugely greater risks.
24	Q Yes. The way I hoped to say it was how much of a	24	Now, are there other diseases that have kind
25	greater risk does people over 85 have from a COVID,	25	of the reverse? In other words, greater risk the
	Page 51		Page 52
1	Page 51 younger you are?	1	Page 52  A I would say extremely, extremely high. 99 percent
1 2	younger you are? A Certainly.	1 2	
	younger you are?		A I would say extremely, extremely high. 99 percent
2	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car	2	A I would say extremely, extremely high. 99 percent or more.
2 3 4 5	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.	2 3 4 5	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?
2 3 4 5	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?	2 3 4 5 6	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.
2 3 4 5 6 7	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to	2 3 4 5 6 7	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.
2 3 4 5 6 7 8	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with	2 3 4 5 6 7 8	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler
2 3 4 5 6 7 8	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with that, but that's multifactorial.	2 3 4 5 6 7 8	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler Exhibit 14.
2 3 4 5 6 7 8 9	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with that, but that's multifactorial.  Q All right. Now, when you determine strategies	2 3 4 5 6 7 8 9	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler Exhibit 14.  (Deposition Exhibit 14 marked.)
2 3 4 5 6 7 8 9 10	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with that, but that's multifactorial.  Q All right. Now, when you determine strategies regarding how to deal with infection rates among	2 3 4 5 6 7 8 9 10	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler Exhibit 14.  (Deposition Exhibit 14 marked.)  Q This is an article in techstartups.com, and but
2 3 4 5 6 7 8 9 10 11	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with that, but that's multifactorial.  Q All right. Now, when you determine strategies regarding how to deal with infection rates among populations, do you take into account the relative	2 3 4 5 6 7 8 9 10 11 12	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler Exhibit 14.  (Deposition Exhibit 14 marked.)  Q This is an article in techstartups.com, and but they're reporting on CDC data of survival rates for
2 3 4 5 6 7 8 9 10 11 12 13	younger you are?  A Certainly.  Q Okay. And an examples of those would be?  A Sexually transmitted infections, HIV, suicide, car accidents.  Q Polio?  A There's really no polio anymore so I can't speak to that. Potentially back then it was associated with that, but that's multifactorial.  Q All right. Now, when you determine strategies regarding how to deal with infection rates among populations, do you take into account the relative risk?	2 3 4 5 6 7 8 9 10 11 12 13	A I would say extremely, extremely high. 99 percent or more.  Q Is the rate less for those as you get older?  A Is the survival rate less for individuals based on age?  Q Yes.  A Yes.  Q Let me show you what's been marked as Beeler Exhibit 14.  (Deposition Exhibit 14 marked.)  Q This is an article in techstartups.com, and but they're reporting on CDC data of survival rates for adults and other age groups. Is this rate
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a static phase illness, so an illness that when introduced into a population isn't mutating at a

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3 high rate. That is the average amount of people

4 who will be infected after an individual is exposed 5 to the population.

> So an R naught of two means that for every one infected person, two other people will become infected.

Q Okay. For me to understand that, are you referring to the rate of infection? The spread of the infection? Is that what you're referring to?

A You know, I think kind of a layman's way of looking at it is how infectious a virus is at a given point in time. The R naught changes over time. And that value, called an Rt, or an effective reproductive number, can go up and down depending on the, essentially efficacy of control of the epidemic, or pandemic in this case.

If it's less than one, it typically means things are getting under control. If it's greater than one, it typically means things are going to continue to spread.

2.3 Q So the chart we see regarding the R0 numbers on 2.4 page 2 at the top, is that consistent with what you 25 understood those numbers to be in November of 2020? Page 54

A I think this is highly debatable, even though I understand the source, but I think the methodology behind calculation of the R naught really from the beginning of the pandemic has been questionable. The original numbers that came out of China have been challenged.

> The effective reproductive number is the foundation for how we set what the herd immunity threshold is. Given the -- I would -- I would trust these numbers a lot more if we understood with certainty what the asymptomatic fraction of the disease is.

> But, unfortunately, since we don't have -- a lot of this math and a lot of these equations came from measles data, data where we have clear physical manifestations in the vast majority of people who get infected, or herds that get infected with rinderpests.

In situations where there's a large asymptomatic fraction where patients never develop symptoms but potentially are infectious, that number falls apart and can be inaccurate compared to how infectious the virus actually is.

Q Now, this article is claiming to report CDC numbers.

Page 55

A I have no doubt that the CDC did the math, but I think all the math needs to be taken with a caveat that the amount of uncertainties with the virus

lead to potentially problematic calculations and

wide confidence intervals around these numbers. Q So you would agree, I gather you would agree that

7 the CDC information can be subject to proper 8 analysis on whether or not it's accurate or not

9 based upon other data.

> A I think the CDC is an advising agency that is making recommendations based on the current state

12 of the evidence. I think they do a fair to

13 excellent job in acknowledging their limitations.

14 And I think that as far as national guidelines go,

15 it's probably the highest quality that we could use 16 given the expertise in those areas.

17 Q But you can still question them, I gather.

18 A Oh, absolutely. Yeah. Yeah. I mean, and I think 19 it's -- you know, part of the response to a growing

20 pandemic is that there needs to be questions and 21 challenges throughout all these processes.

22 Q All right. Now, finally I'm going back to your 23

report, which is Exhibit 11. If you turn to 24 page 2, paragraph 9, here you are mentioning

25 increased risks of certain types of individuals, Page 56

and of course you list adults over 45 as having an

2 increased risk.

3 How reliable is that, that there's an 4 increased risk? Do we have enough data to be able

5 to say that is the case?

6 A Just the age over 45 or all factors?

Q Well, the age ranges, you know, that you've already

8 testified it increases and all that. 9 A Right. Exactly. There's a gradient as age goes

10 higher. I would say the quality of evidence is 11 very high in this area, and has been duplicated and

reproduced on large scale.

13 Q Now, paragraph 10, COVID-19 more often affects 14

children less severely than adults. Are you --15 when you're talking about less severely, are you

16 limited to mortality or are you also talking about

other injury that could be attendant as a result of

18 the infection?

19 A Sure. I think the spectrum of morbidity --

20 certainly mortality is less in this age group, but 21 the spectrum of morbidity is just different in this

22 age group. And if you talk about hospitalizations,

I would say they're at much lower chance of being

24 hospitalized but they might have a higher

probability of having long COVID syndromes, where

Page 57 Page 58 1 1 certain symptoms related to their COVID persist for the more common outpatient COVID questions that we 2 months, years, after -- not years, we don't know 2 get in infectious diseases, is how to manage these 3 3 yet, but long duration after their initial long-term symptoms. We actually have separate 4 infection. 4 clinics that handle that just because of how 5 5 O Do we have data on that or is this a concern? prevalent it is specifically in the younger age 6 A No, we have data on this. 6 groups. 7 Q And you're saying the symptoms. I guess I was 7 And, unfortunately, right now it's just 8 being more specific than symptoms, you know, 8 symptom control since we don't have a good 9 9 understanding of the pathophysiology. injury. 10 A Well, I would --10 Q What's the incidence of this, long-term symptoms? 11 MS. RICCHIUTO: Object to form. 11 A I had read that 30 percent of some college-age 12 12 students develop -- after infection with COVID Q I know that's not a technical term. Sorry. 13 13 A What's the question? I think I interrupted you. develop long COVID symptoms, syndromes. Q I wasn't talking about symptoms that you can 14 14 Q Okay. How about other age groups? 15 recover from. I was talking about any long-term 15 A I don't remember off the top of my head. Certainly 16 adverse injury. 16 any age group it's possible, but I don't have those A Well, I think, you know, form and function symptoms 17 17 18 18 probably are -- relate to some sort of damage Q Paragraph 17, you're referring to a New York Times 19 19 that's happened related to the virus. I just don't report. Do you know the basis of the report, what 20 think we have a hundred percent understanding of 20 study or scientific basis they had for that? 21 what that damage is and how to treat it, how to 21 A I would need to go back and review. Are you 22 22 talking about what the primary article was that reverse it at this point. 2.3 But these COVID long haulers or long COVID 23 they're citing? 24 2.4 syndrome patients do have major changes to their Q Yes. I assume there was. I don't know that there 25 life. They do present -- that's actually one of 25 is, but I wondered if you knew if there was and Page 59 Page 60 what it was. 1 1 But, again, the issue with herd immunity --2 2 A The New York Times since the beginning of the Q 60 to 80 percent of what? I'm sorry. 3 pandemic has been tracking campus-related COVID 3 A Individuals immune. 4 cases since the beginning. 4 Q Oh, okay. 5 5 Q Oh, themselves? A So herd immunity is the theory that if a certain 6 6 A Yeah. And, I mean, they're using publicly percentage of the population is immune to a given 7 available information through the dashboards that 7 pathogen -- and this is a static pathogen that's 8 8 we present from the universities. not evolving -- then the immune fraction will 9 9 Q Okay. That's an explanation. Thank you. protect the nonimmune fraction. And it's different 10 The next section you're discussing herd 10 depending on how infectious the virus is. 11 immunity. What is the basis -- turn to 11 Generally the more infectious the virus is, the

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12 paragraph 21. What is the basis for your statement 13 that the scientific community had not yet 14 determined the percentage of people needed to 15 achieve herd immunity for the COVID? 16 A The basis of it was the fact that the original 17 calculations related to the R naught from the 18 Chinese was highly suspect. Because of that, it's 19 been challenged. R naught recalibration was 20 attempted, and this led to varying numbers that 21 were reported based on the math calculations on how 2.2 infected -- how infectious the virus was in the 23 asymptomatic fraction. So I've seen anything from 24 60 to 80 percent for being herd immunity 25 thresholds.

lower threshold for herd immunity because the more people will benefit. In the case for COVID, we don't really have a good understanding of how infectious the virus is because it's so hard to diagnose asymptomatic disease. The other thing that makes herd immunity philosophy difficult to apply to COVID is because of the evolution of the virus and the variants leading to potential breakthrough infections even despite antibody presence or neutralizing antibody So if immunity was static, if we knew that

immunity was durable for this virus over time, then

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Page 61

we could trust the percentage of the population that has been exposed to coronavirus being immune. But we're learning, and have learned, that immunity is not static with this virus, and things do change specifically as it relates to variants of concern.

There's also ample substrates. The longer that the coronavirus remains in the population, each vulnerable individual that gets infected is the opportunity for further mutations in the virus. And eventually, just by evolutionary theory, the virus will develop ways to bypass the current immune stress.

We see that with other coronaviruses as well. That's why you get the common cold multiple times in your life.

Q Does an acceptable calculation of herd immunity include those that have been previously infected and have antibodies?

A That's what I challenge. I don't know for this.

Because, since the immunity to the virus after a
natural infection is suspect and could change over
time depending on how the variants spread, the math
that you did three months ago might not be the same
math today with a different variant circulating in
the population.

Page 62

So it's really, really hard to tell, and since it's a changing, complex, non-closed system -- I mean, herd immunity was developed in rinderpests, right, in cows, which you had a set group of cows and you knew you needed to vaccinate this many cows in order to protect the rest of them.

This is a much more complex virus with a large asymptomatic fraction that's developing mutations that are associated with viral breakthrough.

Q What are other infectious diseases that manifest mutation and new variants? What are other ones?

A Influenza, HIV. All living organisms develop mutations to bypass stressors. It's evolutionary theory. So everything that is a living organism has the potential to do this, not just pathogens.

But some notable examples where it makes these calculations very challenging are things like HIV, things like influenza where you have massive genetic changes in mutations that prevent us from really being able to document how -- how likely control is.

Q What would you look to, to try to determine if herd immunity had been achieved? What kind of evidence, empirical evidence or whatever would you look for?

A I would expect to see zero or very low new positive

Page 63

cases over a one- to two-week period as a rolling

I would expect to see zero or very close to zero percent positivity in those testing, with adequate testing going on, so there wouldn't have to be zero testing.

And even then, I think that's error prone because we don't know if there's reservoirs yet for this, even nonhuman reservoirs for this infection that may, like flu, set up shop and produce substrate for further divisions, further replications that could lead to mutations that could reinfect us like seasonal influenza virus.

- Q So zero or close to zero reinfections.
- 15 A Infections and percent positivity.
- 16 O And -- all right.

average.

- A Over time too is the other important thing. It couldn't be just like one day where herd immunity, it would have to be durable.
  - Q Right. So what size population are you talking about when you're talking about zero or one or very small number? You're talking about 90,000 students? Are you talking about 3.5 million in the
- state of Indiana? 300 million in the United
- 25 States? 350 million? What --

Page 64

A Yeah, I think it would be any population that you decide to look at, because each individual population, it could eventually reach a local herd immunity.

So if you look at a church, for instance, like my church, if everyone got vaccinated at my church, we could pretty safely go to church and not have to do anything, and we could compensate for the small amount of individuals who didn't manage to mount an immune response to the vaccine.

If you make that group bigger, we would still need those same numbers. We would need a certain number of functional immunity that would be manifested by no infections and a low positivity rate and testing that proves that we aren't seeing breakthrough or new mutations, so surveillance of some sort.

It doesn't really matter the size of the population, but you could effectively have focal or local herd immunity in a population with high rates of immune protection.

Q Turn to page 5, paragraph 24. You say that each of the COVID-19 vaccines have been proven safe and effective. What is the measure of, let's start with effective. How do you measure its Page 65

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Page 66

1 effectiveness? That's a value term so --

2 A It is.

3 Q -- what would be the measure of effectiveness of 4 the COVID vaccination that would lead you to this 5 conclusion?

6 A So --

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THE WITNESS: Go ahead.

MS. RICCHIUTO: Object to form.

A You're right, it is a judgment call. But I think that I would compare it to other vaccines that are also widely available and recommended and even mandated. To me, an effective vaccine would be something that was at least as good as a flu shot, which I would say is, from any year, 40 to 60 percent protective against morbidity and mortality from the infection, from influenza.

Q Okay. So you could still get the infection, but you just -- it would ameliorate the effect. Is that what you're saying?

A Well, so there's two pieces of the coin for the influenza vaccine, and probably with any vaccine, but it's been studied most in influenza, where the immunity that is provided by the influenza vaccine not only decreases your probability of the virus

25 setting up shop and causing infection, but it also decreases your probability if you get infected for having to go to the hospital sick and dying from the infection.

4 So there's two benefits. One, one is more 5 encompassing; the other is another secondary 6 benefit even if the vaccine doesn't prevent you 7 from getting infected.

> Q So you believe, it's your opinion that the three COVID vaccinations, vaccines have achieved that level, 40 to 50 percent level, that makes them effective.

MS. RICCHIUTO: Objection. Misstates testimony.

A Yeah, I believe that the three available coronavirus vaccines way outpace the influenza vaccine in effectiveness.

17 Q What percentage would you place -- you mentioned 18 the 40 to 50 percent for the influenza vaccine. 19 What would you place the COVID vaccinations at?

20 A Depends on the vaccine. The viral efficacy of the

21 Moderna vaccine is about, gosh, what was it, like

22 90 percent; 95 percent for the Pfizer; like

23 70-something percent for Johnson & Johnson. Which

I think are all above what I would consider to be an average successful influenza vaccine season.

Page 67

Page 68

- Q Okay. So that means, though, that there's a range, depending upon the vaccine, of, say, 5 to -- what did you say? 70 percent for Johnson & Johnson?
- 4 A Oh, Johnson & Johnson was like 70 percent and then

5 95 percent was Pfizer. That's the range right now, 6

uh-huh.

7 Q So it would be a 3 to 30 percent chance that the 8 vaccine would have no beneficial effect.

9 A So those --

MS. RICCHIUTO: Object to form.

A Those numbers for viral efficacy are specifically looking at avoidance of clinical presentation with COVID. And it's different in each study on how you define that.

It does not comment on, necessarily, even though we have more data in this specifically with Pfizer, on if you do get infected what does the vaccine do to protect you against dying from the infection, from spreading it to other people.

So there are other variabilities outside of mortality and even presentation to the hospital that I would consider to also be beneficial aspects of the vaccine even beyond what was reported in that area. And those numbers for all the vaccines are generally higher than the viral efficacy.

So Johnson & Johnson, even though it's only 70 percent, there's benefit up 80 to 90 percent, depending on the study you're looking for, for preventing some of those other things. Q So what about the 10 percent or whatever that are

left over, that it's not effective with, in providing any benefit. What -- what about them?

8 A Yeah. I mean the vaccine is not a hundred percent 9 effective. There's some people who get the vaccine that are just not going to mount an immune response. And the risk factors for those are

12 patients with bad immune systems, patients who are 13 on immunosuppressant medications.

> In that group, I would include the extremes of age. We know most about the elderly, and you can define that range really -- it probably is like a gradient, like you mentioned before, is the older you get, the lower probability you're going to not respond to the vaccine.

And in those situations, those individuals, as far as we know, have a lower chance of developing antibody response, seems like a lower chance of developing protection against the virus, so clinical manifestations of infection.

And then the other thing that I would mention

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is that they're also more likely to present to the hospital and die despite vaccination.

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O How effective -- I'm taking you back to your Exhibit 6, your interview, March 8, 2021. If one observed the things you recommended at that 6 point -- wearing a mask, keeping 6-foot radius, washing hands, avoiding -- this is on page 6 again, avoiding large groups, disinfecting -- what effectiveness -- how effective would that be?

MS. RICCHIUTO: Objection. Asked and answered.

A So I would say just from experience in having to interview all of these cases and to look through, talk through all the cases, that it's the Swiss cheese model of, you know, system failure, where each, each system that you add, or each protective mechanism that you have is additive towards the total net protection that you have.

And all of these individual factors are much less potent than actually having an immune individual. So masking by itself, even though it's a great step in the right direction, it was the only tools we had available here to prevent infection, we learned that, and continue to learn that it is not -- it is not 100 percent effective.

It's probably not even close to that.

Q Well, and I'm trying to get you to put the number

Page 70

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3 on what you've put on the vaccinations, you know. 4 I mean, you have an opinion of that back in March

5 of 2020. You said if we observe these general

6 guiding principles, we could successfully reopen.

So how successful can that be?

happens in the systems.

A Right. Again, and, you know, going back to that discussion, the success was with the knowledge that we weren't going to prevent all infections because we knew that these mechanisms were not perfect. But we knew that these mechanisms, whenever they couldn't be applied -- it's a big problem of human nature; right? So the reason that I can't give you a number here is because no one can study how adherent people are to these regimens and what

And in addition to that, we still don't have a great understanding on how COVID spreads. We have very good data. We don't have excellent, you know, clear data on aerosol route versus droplet routes. If the ventilation systems are going to change how that works. Given all the unknown variables as relates to that, even if a study was to say if you implement all these measures, you can have

Page 71

50 percent protection, it really is so setting dependent it would almost have to be carried out in a lab, which is not real world. It would need to all be in vitro, it would need to be experiments on mannequins, and I wouldn't trust that data to be actually representative of what would happen in real life, so you can't really compare.

All we know for sure is that you're going to get transmissions despite your best policies as application of these preventative measures because none of them, even in concert, are going to be able to really effectively account for human behavior, and they're not going to account for the fact that there's still a lot unknown about how transmissible this disease is and in which ways it's able to spread from person to person.

Q Well, of course there's a failure rate. You said there's a failure rate involving vaccinations too. So, I mean, I'm just trying to find out if you have an opinion on the degree of success or effectiveness that implementing, if people actually did implement these, which you were suggesting, we could reopen successfully.

24 A Yeah, I would say --

MS. RICCHIUTO: Objection. Asked and answered

multiple times.

A I'd say pragmatically, you know, real world, presenting all of these things is -- and getting everyone to adhere to it at all times is not reasonable.

I can say that encouraging it and coaching to it and doing the best you can on this, which is probably what the average college student is going to do, is going to be much, much, much less successful than a fire and forget, like a vaccine.

There's no, you know, maintenance that's required for a vaccine, there's no decision that an individual has to make after they've gotten the vaccine to whether or not they pull their mask off or, you know, cluster together or go to a party. It takes all of those factors off the table.

Q Now, your study involved surgical masks or N95

A Sorry, we did not include N95. We just looked at surgical masks.

Q Oh, sorry, I misunderstood then. Thank you for that correction.

Have you studied the use of masks by the general population and the -- you know, so have you studied that?

Page 73

1 A I have not done a study where I've been an author 2 but there have been numerous studies that have 3 compared the efficacy of cloth masks, which is what 4 the general public is wearing, to surgical masks 5 and N95s, and that's the gradient of benefit. 6

Q So what do they say?

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A The least effective masks by usually orders of magnitude are the cloth masks. Surgical masks are in most studies a little bit better than cloth masks. And then N95s are dominant.

Now, the conditions in which these studies are performed is usually in a laboratory where they're using mannequins that are breathing out known concentrations of virus so they can measure exactly how effective they are, so there is some doubt, to be honest with you, related to the difference between N95s and surgical masks.

But consistently throughout these studies, the cloth masks, even though they do offer protection, there's a wide range of protection based on the material that's used, based on how it covers the mouth and the nose, and based on the fit around the face.

Q Well, what about, you know, when you get done for the day? You know, you go home and you -- I mean,

- 1 do I understand correctly that the idea of the mask is that it would catch a COVID virus and prevent it
- 2 3 from going in and therefore you don't get the
- 4 infection? Is that the mechanism?
- 5 A Partially. So --
- 6 Q What else?
- A The purpose of the mask is a barrier but it's a 7
- 8 bidirectional barrier. The mask is beneficial not
- 9 only for prevention of acquisition of the virus,
- 10 and it does that by a number of mechanisms, but
- 11 also by prevention of an infected individual who
- 12 doesn't know they're infected and infectious
- 13 spreading it to other people. So we also consider
- 14 it to be source control.
  - Q Now, let's set those people aside yet because I want to talk about somebody protecting themselves
- A Yes. 18

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- 19 Q That side. So how does it work when -- to protect 20
  - you from obtaining the virus from the outside
- 21 environment?
  - A So actually when it gets down to it, each mask
- 23 probably -- each type of mask, cloth versus
- 24 surgical versus N95, probably works a little bit
  - different.

Page 75

The main mechanisms are just a barrier. You have a physical substance that's in place from the droplets or the aerosols that are floating through the air. They hit the barrier instead of hitting your mucous membranes and therefore is not able to set up infection.

The other thing that's a possibility is electrostatic repulsions in the masks, so there is a little bit of charge associated in the mask, and the droplets and the variants, the small viruses, might be pushed away from the masks due to those gradients.

The other possibility is that the humidity that's created between the masks and the face and the mouth actually acts as a protective barrier itself, so you almost have two layers of protection there.

Now, the filter part of it is probably what differs, I would say, the most between the masks. And that's why we talked about filtration efficiency. Filtration efficiency accounts for a lot of those other things. How likely is it for a particle, of whatever size that you're looking at, to get through a particular material. In general, they found that cloth masks let more things pass

Page 76

- than N95s and then surgical masks. 2 Q Now, does the virus get caught in the mask?
- 3 A Yes.
- 4 Q Adhere to it in some way or another?
- 5 A Yes.
- 6 Q All right. Now, if that would be the case, and you
- 7 take it off and don't dispose of it in a sterile
- 8 way like you described what healthcare providers
- 9 do, or workers do, I mean, if I grabbed ahold of
- 10 this cloth mask I'm showing you, you would put it
- 11 on your hands, I assume, right, and you could
- 12
  - introduce it by rubbing your eyes or --
- 13 A I think that's theoretically possible. And at the
- 14 beginning of the pandemic, this was one of the
- 15 areas -- and we've been very slow to change this in
- 16 the medical community, despite really strong
- 17 evidence and epidemiological data that does not
- 18 support what we consider to be a contact mode of 19
- spread. 20

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So contact transmission means that after you touch something, you can inoculate it into an area that can become infected and then you can set up infections. We just haven't seen that bear out in the epidemiology. In those situations, we would

see infections over long distances; right? Someone

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touched a doorknob, I touched a doorknob and got

it. Really what we're seeing vast majority of the

time is someone is infectious and breathing on me

4 and I get exposed to them and I get infected.

5 Now, it's still, I guess, theoretically 6 possible, and because of that the CDC still

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7 recommends, you know, doing hand hygiene after you

8 touch your mask. And in the hospital we wear gowns 9 and gloves to -- and wash our hands, obviously,

10 after we see patients who have COVID.

> But the CDC, as well as my understanding of the data and the current kind of approach to this says that contact transmission is a very, very low mechanism by which this can spread, if it's any

16 Q Okay. Interesting. All right.

17 Now, turn to paragraph 25, please. Over the 18 last month or so, has there been increased evidence

19 of side effects of vaccines in college age?

mechanism by which it can spread.

20 A I'm aware of a few datasets maybe over the last few 21 months that have suggested an association with

22 myocarditis, pericarditis, with an increased

23 prevalence of vaccine -- post vaccine fever and 2.4 systemic symptoms for 24 hours after vaccine. And

25 then the Johnson & Johnson association with the

Page 79

likely there will be such a thing, and so we test

it in order to find that out. Is that correct?

A All vaccines go through Stage III trials that investigate that question.

Q Right. Because we know that there are very likely to be unknown side effects that we don't know yet

7 that will manifest itself in the study. 8 MS. RICCHIUTO: Object to form. Lack of

9 foundation.

10 Q Is that correct?

11 A The point of Phase III trials is to identify the

12 side effect profile of the vaccine. Leave it 13

there, yes.

14 Q Did they do Phase III testing of these three

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16 A Large-scale Phase III testing -- sorry, of three

17 viruses or vaccine?

18 Q Vaccines.

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A Yes. Large-scale, multicenter, international

20 trials on the three vaccines.

21 Q Why didn't they find these three side effects that

22 are now manifesting themselves? Why is that?

23 A Likely because they're occurring in very, very,

24 very small numbers.

Q Even despite widespread large-scale testing?

cerebrovascular sinus thrombosis, or clotting

disorders, in college-age students.

3 Q And am I right that those manifestations of side

4 effects, if you will, of a COVID vaccination, are

particularly manifest in the younger age people

6 than the older age people?

A They have a higher probability of developing those

8 syndromes, both in response to COVID infection

9 itself, but also in response to the vaccine. But

10 in response to COVID, the prevalence after COVID

11 infection itself is higher than after the vaccines.

12 Q I've heard the concept of, in this area, of known

13 unknowns. In other words --

14 A (Inaudible) of some sort?

Q I know, it sounds like an oxymoron, but, anyway, it

16 took a while to understand it. But is that,

particularly when we start with a new vaccine, we

18 test it because we know that there will be -- it's

19 highly likely that there will be side effects, at

20 least some side effects?

21 A Sure.

22 Q So we test them to find that out, okay, that, in

23 other words, we don't know what they are at the

24 beginning, but we think it's, based on experience

and the science and everything else, it's highly

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A Testing --

2 MS. RICCHIUTO: Object to form.

3 A Despite testing?

Q Trials. That's the word I meant. Sorry.

5 A Yeah. I mean, this is really an unprecedented push

6 for vaccine, and we've got more people getting a

7 vaccine than could ever be expected with any other

8 vaccine in human history, so there's going to be I

9 would consider some extremely, extremely rare

10 potential consequences of the vaccine.

11 And I would say I'd be hesitant to even use

12 consequences because at this point right now we're

13 in the association versus causation kind of debate

14 with these things. We know that there seems like

15 there's an association around these vaccines. We

16 don't know exactly what's driving that. But given

17 that we're -- gosh, millions of people are getting

18 vaccinated with the vaccine, we have much tighter

19 lens than we normally would for any other vaccine

20 in history to identify some of those extremely rare

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22 Q Turn to page 6, paragraph 30. What do we know

23 right now about the immunity rate of those that

have already had COVID-19? What do we know right

25 now?

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Page 84

1 MS. RICCHIUTO: Object to form. 2 A I'm not sure what an immunity rate is. 3 Q Well, if you have a hundred people that had the 4 COVID infection, how many would have a long-term 5 immunity? 6 MS. RICCHIUTO: Object to form. 7

A So I think the problem I have with the term is that immunity is a complex assessment of someone. Do you just use antibody response? Is it antibody and T cell. Is it clinical immunity? Is it based on a test that we're using?

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So what we do know about the clinical immunity from patients who are previously infected with COVID is that it seems like it is pretty durable. So that there's evidence of antibody that's around for long durations of time.

Now, the issue that we are seeing with natural infection is that it does wane over time, it does go down. And it may be that the vaccines, we're having some early data now, have a more durable immunity that's set up in the memory cells than natural infection.

The other reason that I just want to be clear in that immunity is multifaceted is that breadth of immunity is also important here, especially in an

evolving virus. And there's early signal, there's another study actually came out a few days ago, that suggests that the virus does not induce antibodies or types of antibodies that can respond as well to variants, certain variants of concern.

The other thing I would mention is that in the more recent study, those who have been vaccinated tend to have higher levels of antibody than those who have had asymptomatic disease or mild disease, in particular, but probably all forms of natural infection.

So even though we talk about immunity for both natural infection and vaccine-induced immunity, they are very much different, and there is a difference expectation, I would say, developing between the two mechanisms by which you develop

18 Q Have we seen that there is a risk of being 19 vaccinated if you have had a COVID infection? 20 MS. RICCHIUTO: Object to form.

> A Yes. The only risk is that if you -- that I'm aware of, based on my understanding of the data, is that if you've previously had a COVID infection and you're getting a two-dose series of vaccine, like Pfizer and Moderna, then you have a higher

Page 83

1 A I need to review it. 2 Q You may of course.

3 A Yes, this is the study I was citing.

Q All right. Very good. Thank you.

5 A Can I make a caveat here, though?

O Of course.

A It hasn't been peer reviewed yet. So -- I'm not aware that it has been. I tend to watch "med-archive" but -- but it's hard to say with

10 certainty until it really has been peer reviewed.

11 Q But as I understand it, you relied upon it in your 12 testimony you just gave.

13 A Yes. Sometimes it's the best data that we have and 14 sometimes we have to use "med-archive" which I 15 think is fine discussing these things and using it, 16 but it always has to come with that caveat, right, 17

that peer review process may change.

18 Q All right. Turn to page 7, paragraph 34. So if I 19 understand 34, as long as they have not had an 20 immediate or severe allergic reaction to a COVID 21

vaccine or its ingredients, then people, regardless of their underlying medical conditions, can receive

23 the vaccination. Is that right?

> A The only contraindication for the COVID vaccine is an allergic reaction to the vaccine or the vaccine

probability of having your 24 hours of fever and systemic symptoms after your first dose as opposed to your second dose.

So essentially you're getting three doses of a vaccine, of an immune bolus. So your immune system responds to your natural infection; the second time it sees it, you develop your kind of fever; and the third time you see it, you may develop a fever but it tends to be mild.

These are generally self-limiting. And actually there's a lot of talk right now about if we should be doing three doses in everyone, at least evidence mounting in people who, like solid organ transplant patients who have immunosuppressant drugs on hand.

Each subsequent exposure that we actually have to the virus, each essentially booster we get, whether it by natural sources or immunization sources, is beneficial, we can see as it relates to the degree of immunity that develops. But antibody level-wise, T cell-wise, memory-wise.

Q Let me show you what's been marked as Exhibit 17. (Deposition Exhibit 17 marked.)

Q There is a study by Rachael Raw. Are you familiar with this study?

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1 components. And even then, if you've had an 2 allergic reaction to Pfizer or even Moderna, you

3 could get the Johnson & Johnson. Or if you've had

4 allergic reaction to the Johnson & Johnson, you

5 could get the Pfizer or Moderna. They don't have 6 overlapping components.

7 O Now, I'm assuming IU isn't claiming to be in the 8 position of making medical treatment decisions. Is 9 that right?

10 A Correct.

11 MS. RICCHIUTO: Object to form.

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Q And so would it be your opinion that even though an attending physician has advised a patient not to have the COVID vaccine because of an underlying medical condition other than this one, that IU should just override that --

MS. RICCHIUTO: Object to form.

Q -- and require it anyway?

A What happens functionally is that our exemption process takes into account not just

22 immunocompromising conditions but any condition

23 that the primary provider feels like would exempt 2.4 their patient from their list.

25 Now, we stay in alignment with what the CDC recommends, but work with the provider in order to

Page 86

provide education, because there's a lot of

3 providers that don't understand that certain

4 pathophysiologies are not necessarily

contraindications to the vaccine. Ultimately, we

6 work with them in order to help decide what's in

the patient's best interest.

Q "We" meaning IU?

A The medical response team, the four docs that are part of the medical response team, review all of the medical exemptions that are submitted and then reach back to the provider who signs the sheet that says I don't want my patient to get it because of XYZ. Very frequently we ask for more information, but it's -- we try to set up a dialogue to decide and if they've got a good rationale.

I mean, there's going to be a bunch of things like you mentioned that are good indications not to get the vaccine. So if I've got a patient, for instance, that's on retuximab, which is a medication that doesn't allow antibodies to form, doesn't make any sense to give the vaccine right now, so we would 100 percent back that. But there does need to be a dialogue since there are no firm contraindications from the CDC.

Page 87

from getting the vaccine. If the provider who has a relationship feels very strongly and they've got a medical basis for their rationale, we work with them in order to decide what's best. Q What do you mean decide what's best? You have a mandate, you're either going to enforce or not

And even some of those patients may benefit

enforce; right? You either have an exemption you're going to grant or not grant.

10 A Right, this is the discussion we're going to have, 11

if we grant the exemption or not.

12 O But ultimately IU decides that.

13 A By working with the provider.

Q I understand you want to talk to them but, I mean,

15 I'm getting down to like the legal state of affairs

here, that IU ultimately makes the decision, not

17 the attending physician, no matter; right?

18 MS. RICCHIUTO: Object to form. Misstates the

testimony. No foundation.

MR. BOPP: Go ahead.

A We review all medical exemptions. We apply the CDC criteria and work with the provider in order to

2.3 decide if the patient meets exemption criteria.

24 Q And then that's the decision that IU makes.

A IU makes the decision on whether or not a patient

Page 88 meets exemption criteria based on our conversation

with the provider.

3 Q Okay. So -- okay. So you just mentioned taking a 4 drug, which I don't remember the name of, that 5 would prevent the development of antibodies. That

6 would be a reason not to take a vaccine; right?

A Correct. Yeah.

Q Okay. So there are actually other conditions other than severe and immediate allergic reaction that can justify not taking the vaccine.

A So I think, I think it's probably semantics, which is my fault, but retuximab, that medication, those patients can safely get a COVID vaccine, but we allow them to defer until there's a good time point where they can get the vaccine to increase their probability of developing antibodies.

And there's a number of different conditions like that, including pregnancy and breast-feeding and being on steroids and being on chemotherapy or getting a solid organ transplant. I mean, there are a lot of conditions where timing may not be optimal, and we work through that based on what their requested time is to get the vaccine or when they felt like, with their provider, would be safe to get the vaccine and usually work with them on

Page 89 Page 90 1 that. 1 A Certainly. 2 Q What other infectious diseases does IU require 2 Q What are they? 3 biweekly testing? 3 A Influenza kills college-age students every year. 4 A Bi --4 Q Like how many at IU in the last year? 5 O Of the students for. What other infectious 5 A We had almost no influenza at all because of the 6 diseases? 6 COVID preventative measures. 7 A There are no other infections that are of the 7 Q Okay. How about the year before? 8 consequence of COVID-19 that require surveillance 8 A I don't know those numbers. 9 9 testing to that level. Q What other infectious diseases do they die from? 10 Q Of the consequence of COVID-19. What do you mean 10 A Meningococcus. I don't know those numbers, but 11 by that? 11 there's a lot of infections that are spread in the 12 A So in the setting -- so I think our ability to 12 college area that we try to protect them against by 13 control the virus is contingent on our ability to 13 vaccination. Influenza. We vaccinate against 14 identify asymptomatic individuals and quarantine in 14 meningococcus, we vaccinate against -- all of these 15 15 order to prevent spread. are usually diseases that are associated with close 16 Failure to do that leads to spread, not only 16 cohorting, partying in dorms and living in 17 within our community, the vulnerable populations, 17 apartment buildings that just tend to be syndemic 18 18 but also outside our community to the counties and with infectious -- with college-age groups. 19 other people who may be vulnerable. 19 So we don't test regularly for these because 20 20 So in order for us to understand where our it's challenging to test regularly for them and 21 2.1 disease is at, what our prevalence is, what our also because our preventative measures with the 22 exposed or vulnerable groups are, testing is the 22 vaccines make that not necessary. 2.3 best way to diagnose and to understand. 23 Q So they disappeared. All those infectious diseases 2.4 Q Do IU students die from any other infectious 24 that disappeared as far as their infection rate and 25 diseases than COVID? 25 morbidity and mortality rate, they disappeared? Page 91 Page 92 1 MS. RICCHIUTO: Objection to form. Misstates 1 A We do not. 2 2 the testimony. Q What is the current state of requirements in 3 A Yeah, they have not disappeared but they've become 3 Bloomington? For instance -- there's other 4 4 very rare in the setting of vaccination. campuses; but, for instance, Bloomington, for the 5 5 community to protect themselves? What are they Q Where and how do you measure rareness? By what 6 6 measure? requiring? Are they requiring masks --7 A You know, it's still possible. It's a lot less 7 A To my knowledge --8 8 than what it would be without vaccination. So last Q -- for unvaccinated people? 9 9 year we didn't have any influenza virus, really, A Yeah, not to my knowledge, there's no measures in 10 that circulated. I'd have to look at the previous 10 place right now, with the exception of maybe group, 11 year to tell you how low it was. But I would 11 group size limitations. 12 define rare as comparing it to the county influenza 12 O Do they mandate vaccination? 13 13 A No. 14 Q Okay. I'm sorry, what? County? 14 Q Do they require social distancing? 15 A The county influenza rates. So if the influenza 15 A I don't know if individual businesses do, but the 16 16 rate in the vaccinated college population was less government does not. 17 than the influenza rate in the county, I would say 17 Q I'm talking about the government. 18 18 that that is a protective intervention. So is it your conclusion from that, that they 19 Q So Bloomington, Monroe County. 19 don't care about their own people that could get --20 20 A Recently. that there are vulnerable people that could get an 21 Q So IU, Monroe County in Bloomington. 21 infection? 22 MS. RICCHIUTO: Object to form. 2.2 A Right, right. Exactly, yeah, yeah. 23 23 Q Now, you mentioned protecting people in the Q That they don't -- they don't care about them? 24 community as one of the goals, purposes. Does IU 24 They don't what? They're not taking reasonable 25 prohibit students from going into the community? 25 measures to protect them? What would be your

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thought about what Bloomington is doing?
 MS. RICCHIUTO: Object to form. Compound.
 Lacks foundation.

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A I am not privy to the discussions that go on in the Bloomington government. From -- and I can't really comment on the politics around whether a mandate is reasonable and legal at that level.

From my perspective, and a public health perspective, it makes sense to have the most amount of people vaccinated as possible in order to protect the people that can't mount an immune response.

Q Can an individual who cannot mount an immune response, the vulnerable people, one of the categories you were talking about, what steps can they take to protect themselves?

they take to protect themselves?

A I think we looked back at that original -- I forget what the first article was when we talked about, you know, 6-foot distancing and masking and avoiding large crowds, which is what we would recommend. But, again, those are going to be not perfect and they're going to be incomplete. And if they've got family members -- maybe they are able to protect themselves, but if they've got family members that are out in society, they could bring

that back to them.

So despite our best efforts at creating bubbles around vulnerable people, it's incomplete, just like the previous interventions prior to the vaccine were incomplete.

Q Now, what percentage of the population in Bloomington, for instance, would you expect to be in the category you're describing, who cannot mount an immune response? What's the nature of that? How do we measure that number?

MS. RICCHIUTO: Objection. Compound.

Q Let's say there's 60,000 people who are not students that live in Bloomington.

A I've got no way of understanding the individual comorbidities of a population of a county.

Q Well, or the state or the nation or whatever. I mean, how serious a problem is this based on how many people could be within the category of vulnerable people?

A Yeah. I think that there's two ways of looking at vulnerability, and I think it comes down to infectiousness; right? So there's not really a huge difference in infectiousness with the exception of maybe less-than-ten-year-olds as it

relates to COVID itself. So if everyone is --

Page 95

everyone over ten is equally likely to become infected after a certain exposure, what we're talking about is risks afterwards, after infection.

So, to me, those risk factors that we talked about, you know, age being very common, is something that's obviously outside of control and very hyperprevalent in all of our communities. The fraction of the population older than 65 grows every year.

The other thing that I would say is that the other risk factors for having severe disease and bad outcomes include things that are as hyperprevalent as high blood pressure, cardiovascular disease.

So, I mean, a lot of these risk factors, I would expect probably the majority of people in Monroe County to have after they get to be 50, but I don't know those exact numbers.

Q That's not what I asked you about. I asked you about what you said. You said that there is a population that cannot mount an immune response and that getting vaccinated would not work with them. Okay? So they don't have the ultimate protection that you would hope a vaccination would perform.

A Gotcha.

Q How many people are there, I mean, in a population?

Page 96

A So remember the main, the main --

Q Is this prevalent? I mean, is there one maybe in Bloomington or is there a dozen or a thousand or 20,000? You know, half of the population? What are we talking about?

MS. RICCHIUTO: Objection. Compound.

Q Of course it was. I threw out a lot of numbers but
 I want you to pick one.

A Yeah, yeah, I get it. So it's a gradient with age; right? So as you get older, your risk of not mounting an immune response gets worse -- or your risk of not mounting an effective immune response gets more common. So the older you get the chance that you're going to respond to a vaccine the same that a younger person would respond to the vaccine becomes less and less.

Q Okay, people over 65 then. What percentage?

A But even over 50; right? So it's going to be maybe

A But even over 50; right? So it's going to be maybe less over 50, it's going to be maybe less over 40.

Q I understand that, the comparison. What I'm asking for is some number to measure how many people are we talking about that are at risk. What percent of the population?

25 MS. RICCHIUTO: Objection. Asked and

Page 97 Page 98 1 answered. 1 MS. RICCHIUTO: Objection. That has been 2 A It's a gradient of risk depending on the age group. 2 asked and answered. 3 And I would also say that --3 A I cannot estimate that percent. I would guess that 4 4 Q Well, pick an age group and tell me. it would be relatively high just because of all the 5 A What percentage of Monroe County population is over 5 comorbidities that are associated. 6 6 Q I'm not talking about comorbidity. 7 Q No, of course I didn't ask that. I asked what 7 A Comorbidities put you at risk for failing to mount 8 percentage of the population over 50 would you 8 an immune response. 9 9 expect not to be able to mount an immune response. Q But some do and some don't. What are we talking about? 10 10 A Right. 11 A It's not an exact answer. 11 Q Okay. I'm asking for what you stated. How many 12 MS. RICCHIUTO: Object to form. 12 would be, whatever the cause, not be able to mount 13 13 A And that's why I'm trying to get clarity on the an immune response? 14 14 question, because a person over 50 might develop MS. RICCHIUTO: Objection. 15 antibody response, it just might not be as much 15 Q What percentage of the population? 16 antibody response as someone who is older. And is 16 MS. RICCHIUTO: Asked and answered. 17 that antibody response enough for them with their 17 Argumentative. Jim, I understand you don't like 18 other comorbidities that are going on that might be 18 the answer but you've gotten --19 listed, like decreasing their chance of developing 19 MR. BOPP: No. MS. RICCHIUTO: -- an answer multiple times. 20 a response? I don't know. 20 21 21 Q Doctor, I used the words you used, so you must know MR. BOPP: I've gotten no answer and that's 22 what words you used and what they mean. The 22 why I'm asking it. 23 population that cannot mount an immune response. I 23 A I can't give you an answer. 2.4 just want some idea of some percent of some -- of 24 Q Okay. Well, then fair enough. Thank you. 25 25 the population that that would apply to. A I think that from my perspective, those people are Page 99 Page 100 1 1 out there. Those people are vulnerable. And it's uncertainty related to the included variables." 2 2 probably a larger percentage of our population than Isn't what you are saying inherent in this 3 we appreciate. 3 entire discussion? In other words, that you, for 4 4 Q Page 8, please, paragraph 42. Now, did you write instance, were just going on and on about all the 5 5 that paragraph? error prone or variables that we just don't have a 6 6 real certain handle on. I mean, isn't that one of A I did. 7 7 Q Do you literally mean that in your expert opinion the problems with this area, is that there's so 8 8 the degree of unknowns associated with all of much, you know, that we haven't precisely 9 9 Dr. McCullough's statements, do you really mean all determined? Okay? 10 of his statements? You don't agree with a single 10 A I think that there are --MS. RICCHIUTO: Object to form. 11 one of his statements? 11 12 MS. RICCHIUTO: Object to form. 12 Sorry, Cole. 13 A I explicitly address the statements that I have 13 Compound. 14 issues with. 14 A I think that there are areas related to COVID that 15 Q Okay. All right. So we should -- okay. So we 15 remain uncertain. I think there are areas related 16 should view that paragraph as referring to what 16 to COVID that we've built actually a really solid 17 statements you've addressed in your report, not 17 evidence base of and we have more concrete and more 18 every statement that's made in his report. 18 confident data. But I'd be remiss if I didn't say that the scientific community is evolving and we 19 19 MS. RICCHIUTO: Object to form. 20 20 A I addressed my rebuttals to his concerns that I have to respond to new data as it comes about. 21 disagreed with. 21 This particular comment was related to his 22 22 Q Okay. Very good. Page 9, this is at the end of calculations, the long arithmetic he did for the 23 23 paragraph 45, "In total, calculations like those calculation of herd immunity. And like we talked 24 set forth by Dr. McLaughlin -- McCullough, I'm 24 about previously, the herd immunity equation really 25 sorry, are highly error prone because of 25 is based on a lot of variables that change over

USDC IN/ND case 1:21-cv-00238-DRL-SLC document 31-29 filed 07/12/21 page 26 of 42

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	Page 101		Page 102
1	time. And a calculation today might be completely	1	the Venn diagram.
2	different than a calculation tomorrow with an	2	Q We don't. We don't know that. And which he
3	evolving virus.	3	acknowledges, of course, I mean.
4	So there's assumptions that are built into all	4	I think we already got into 45 about people
5	of those modeling equations that I think we can't	5	who you know, problems with their immune system.
6	take for granted, and I think that certainly we've	6	Let me ask you about 55 on page 12. I
7	already seen how they can fall apart over time.	7	understand that IU announced yesterday that they're
8	Q Well, what about the accuracy of that calculation	8	lifting the mask mandate, making it optional for
9	at the time he made it?	9	fully vaccinated people. Do you understand that?
10	A The problem is that we don't have a really accurate	10	A Yep.
11	representation of the R naught, the effective	11	Q Did you participate in that decision or were
12	reproductive number.	12	consulted in any way?
13	Q And we also don't know how many have had COVID that	13	A The medical response team was involved. I wasn't
14	have immunity.	14	part of the main decision, but one of my
15	A Correct.	15	compatriots was.
16	Q Right? We don't know how many who have had COVID	16	Q Okay. What's the basis for that decision? It was
17	and also took the virus because there's a potential	17	going to be lifted on July 31
18	big overlap; right?	18	A Right.
19	A Wait. Sorry.	19	Q as I understand it.
20	Q The vaccine.	20	A Very high percentage of vaccination uptake so far,
21	A Okay. Okay.	21	
22	Q Sorry.	22	very, very low county epidemiology, and low amounts
23	A Yeah.	23	of people on campus.  Q So is this temporary? In other words, they may
24		24	
25	Q I'm sorry about that.		reinstate it when more people get back at campus?  Is that what
23	A You're right. Yes, we don't know that overlap in	25	is that what
	Page 103		Page 104
1		1	
1 2	A Well, I think all decisions need to be made based	1 2	Well, in that blank space, as you turn the
2	A Well, I think all decisions need to be made based on what's happening with numbers. So, yes, it	2	Well, in that blank space, as you turn the next page, that's a screen shot that also shows
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Page 105

came in for syndromes unrelated to COVID, maybe

they were just getting a surgery or something. We eventually found out that they were COVID positive,

3 4 and our healthcare workers were getting infected.

5 So we were having a lot of talk about universal

masking. But at the time, the culture and evidence to support universal masking was not there.

And -- but when we switched over, there was a lot of community praise for the universal masking. I think a lot of it was because of perception that I'm now protected because I'm wearing this mask and everyone around me is wearing this mask.

So even though we know now that that's not the main -- there's now data that suggests that universal masking actually does protect in the healthcare environment, and as much as you can mask in the community, that's also protective and decreasing the rates, I still think that there is a cultural shift that's happened with masks where people just feel safer wearing them, which I think is fine. And we definitely support from the IU side of things, if you're an individual feeling safer of wearing a mask, regardless of your level of risk, keep wearing your mask.

25 Q Now, the New England Journal of Medicine article, Page 106

1 which is the one, two, three -- and go to the 2 second page at the top, it says "wearing a mask 3 outside health care facilities offers little, if 4 any, protection from infection."

5 Did you agree with that statement at the time? 6 A Yeah, at the time, there were large-scale studies 7 that suggested, usually during influenza season, 8 that looked at masking and did not show a benefit 9 in acquisition of influenza. And, actually, even 10 one of them showed worsening of influenza.

But since that time, just because of the COVID pandemic, there's been large-scale studies that have contradicted those studies, that have suggested, at least for COVID, that mask wearing protects against transmission.

Q Okay. Let me show you also what's been marked as Beeler Exhibit 39 -- 37. Sorry.

18 (Deposition Exhibit 37 marked.)

> Q Now, this was February 5 of 2020. This is another email from Dr. Fauci to someone, Sylvia. "Masks are really for infected people to prevent them from spreading infection to people who are not infected rather than protecting uninfected people from acquiring an infection."

Do you agree with that statement?

Page 107

1 A Not anymore.

Q Would you have agreed with that in February of

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4 A Probably. Again, we had really poor quality data.

5 We didn't have large-scale data. And the evidence

that we did have suggested it was more potent for

7 those who were infectious as source control as

8 opposed to those who were not infected. But this

9 is old.

Q Yeah. So what's the rate of protection that can be afforded by a mask from an uninfected person

12 acquiring the infection?

13 A Can you rephrase? I'm sorry. What's the rate of 14 protection that you can get wearing a mask from --

15 like how much does a mask protect you 16

percentagewise?

Q Yes, let's say. Yeah. I mean --

A I think -- what type of mask are we talking about?

19 Q The ones the general public would wear, okay? And 20 we can start with surgical, as she does, and then 21 we can go to other forms. And what I'm talking

2.2 about is if you want to determine that, right, you 23 could do that.

24 In other words, you would take a population 25

that doesn't wear a mask, you know, hopefully in

Page 108

1 the same environment, and try to standardize all

the other factors, right, and see the infection

3 rate. And then you would take, in the same

4 environment and other equal size, who faithfully 5 wear a mask of whichever variety and you maybe

study both, okay, each, and you could come up with

a comparison; right?

8 A Those studies have been done.

O So what is the --

10 A And I do not know the percent difference, but I

11 know that universal mask wearing did result in

12 decreases in COVID rates in populations that wore

13 masks versus populations that did not wear masks.

Q There was a statistically significant effect.

15 A Yes.

16 Q Okay. Do you remember the name of the study?

17 A I would have to find it for you. I don't. I'm

18

Q Okay. Well, I'm not asking you to do that, of

20 course, but all right. 21 All right. Let's go to page 13, and this is 2.2 at the very end of paragraph 57 where it says

"Their use" -- and I'm going to ask you what you

meant by that, okay, what were you referring to --

"has been thoroughly discredited and discouraged by

USDC IN/ND case 1:21-cv-00238-DRL-SLC document 31-29 filed 07/12/21 page 28 of 42

<u> </u>	<u>D.case 1:21-cv-00238-DRL-SLCdoc</u>	µmenı	31-29 filed 07/12/21 page 28 of 42
	Page 109		Page 110
1	the CDC and the NIH."	1	the patient characteristics that need to meet those
2	What is the antecedent to the "their use"?	2	criteria, which I delineate in my statement, and
3	Their use of what?	3	the drugs that have been approved and not approved
4	A So "their" refers to the treatment suggested by	4	for the treatment of COVID.
5	Dr. McCullough and listed in bold above.	5	And what I mean by approved, since only
6	Q Oh, all of them.	6	remdesivir has an EUA approval, should be used in
7	A Yes. And the reference is for the treatment	7	clinical practice based on expert assessment of the
8	guidelines from the CDC and the NIH.	8	literature.
9	Q So all of those have been discredited and	9	Q So the reference here is not correct.
10	discouraged?	10	A No, the reference takes you to the site where you
11	A None of those are supported by the CDC or the NIH,	11	get the PDF. This is just the first thing that
12	and the literature base for them is weak.	12	comes up.
13	Q Okay. Let me show you what's been marked as	13	Q Where is the reference to this PDF you're referring
14	Exhibit 21.	14	to?
15	(Deposition Exhibit 21 marked.)	15	A I'd have to show you on the website. But this is
16	Q And I'll represent that I clicked on your link	16	just the update to the guidelines; it's not the
17	here, and this is what popped up, all right, as	17	guidelines themselves. And you could also click on
18	your authority for this statement. "Their use"	18	the link here where it says "full statement" on the
19	meaning all of these therapies "has been	19	second page.
20	thoroughly discredited and discouraged by the CDC."	20	Q Oh, okay.
21	Where does it address that in here?	21	A And then the "Panel's statement" on the last page.
22	A Well, you actually haven't printed out the	22	Both of those should link to the same resource,
23	guidelines, you've printed out the update to the	23	which is what they recommend for treatment.
24	guidelines. There's a larger PDF that goes through	24	Q Why didn't you link to those rather than this?
25	what the evidence-based criteria are for treatment,	25	A It's a PDF as opposed to a website.
20	what the evidence based effects are for treatment,	25	A it's a l'D1 as opposed to a website.
	Page 111		Page 112
1	Page 111  Q Well, but you can link to PDFs.	1	-
1 2		1 2	Page 112 wrong, this is the conclusions in this article is based on modeling, not empirical studies. Is
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Page 113

spread to their family. I can also say that anecdotally we see very frequently asymptomatic

In addition to that, asymptomatic spread's supported by every public health intervention across the world that suggests that individuals exposed need to be guarantined regardless of symptoms because they could spread the infection.

Q Why do you cite to a model, modeling study rather than empirical data?

A This is the highest percentage that I've seen of asymptomatic individuals being infectious. There's a wide range here on how infectious -- sorry, what percentage of asymptomatic individuals exist that are infected and therefore infectious, but this one at 30 percent was the highest one. It's a direct rebuttal to the low estimate in Dr. McCullough's citation.

19 Q Are there empirical studies that agree, agree that 20 it could be low?

21 A I think the vast majority of studies suggest that 22 it's over 10 percent. Epidemiologically what we 23 see is in alignment with around those rates.

24 Q So 10 percent, not 30 percent.

25 A Again, in my statement I'm highlighting the range of potential for asymptomatic spread.

2 Q Well, you didn't give a low number, so you didn't 3 give a range. You just gave a high number; right?

Page 114

Page 116

4 A But it was to directly rebuttal the low percentage

5 that was in Dr. McCullough's statement, so...

Q So is the generally accepted number 10 percent? Is that what you're saying?

8 MS. RICCHIUTO: Object to form.

9 A No. Generally accepted percentage is around 10 30 percent.

11 Q Is this percent? The highest number --

12

13 Q -- in a modeling study?

> A Again, the assumptions in the model are built on meta-analysis level data. So they're taking into consideration multiple different studies that are empirically based. So again, you're right,

18 modeling has a number of assumptions and you have 19 to, I think, critique those assumptions, but when

20 the assumptions are based on meta-analysis real

21 world data, I think that model is a lot more robust 22

than one that's not.

23 Q Then I didn't understand your reference to the 24 10 percent. That was what the empirical studies 25

have shown, approximately.

## Page 115

1 A In general.

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- 2 Q In general?
- 3 A In general, the composite studies are anywhere
- 4 between 10 percent and, you know, even as high as
- 5 40, 50 percent, but most of them, I would say, are
- 6 over 10 percent. And when you build a model off of
- 7 all of those empirical studies, it shows up around
- 8 30 percent. And that's around what most people
- 9 will cite as the asymptomatic fraction with this
- 10 infection.

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11 Q Well, what have we learned since January 7, 2021?

12 Has there been empirical studies since then on the

13 amount of asymptomatic spread?

A Certainly there has, but I'm not aware of any large

15 ones that have -- that I could cite for you.

O Page 15, paragraph 63, this says there's no evidence -- now you'll have to tell me what all

18 these words mean, okay, or at least some of them.

19 Genotoxicity?

20 A So this list was taken directly from

21 Dr. McCullough's statement. Genotoxicity is damage

2.2 to genetic materials. Mutagenicity is essentially

23 the same thing but it's essentially a stressor on

24 the genetics that creates mutations.

25 Teratogenicity specifically is mutations of a fetus during delivery. And oncogenicity are mutations that lead to immortalization of cells, namely

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4 Q Isn't the reason there's no evidence of these 5 effects, because they weren't studied?

MS. RICCHIUTO: Object to form.

7 A All complications were studied in these areas. And 8 I think any concern we have about vaccines has to 9 come down to biologic plausibility, which is I why 10 I went into depth, I think in my next statement, 11 statement 64, about how RNA relates to the rest of

I mean, we can make a lot of hypotheses about vaccines doing XYZ, but whether or not they should be tested should be based on generation of

16 plausible hypotheses; otherwise, you could make up 17 infinite questions. But based on our knowledge of

18 the science, the chances of RNA that is being

19 imported into the cell creating any sort of damage

20 to DNA, or the intrinsic code, which is separated

21 by a double membrane, is such a low probability 22 that anyone doing any sort of vaccinology research

23 isn't going to pursue this. It's not --

the cellular machinery.

24 Q Okay. So --

25 A -- viable.

Page	117

1 Q So you're justifying why it's not pursued, and my 2 simple question was it wasn't pursued, that they 3 did not specifically study for these items.

MS. RICCHIUTO: Object to form.

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5 A So yes, you're right. Did they do an 6 individualized trial that looked at whether or not 7 the genes were damaged? No, they didn't. But they 8 also didn't do individualized trials to look at if 9 patients with lyme disease were at higher risk or 10 if patients with, you know, multiple sclerosis had 11 increased risk of outcomes.

> But that's the point of large Phase III randomized controlled trials, is that the point of randomization is you account for all of these, you watch it over time, and you see if there's a difference between groups who got vaccine or not

So was this a direct aim? No. But they account for this in study design by applying placebo and applying randomization to the groups. Q Let's go to 66. No, let's -- okay. I meant 67,

22 I'm sorry. Do we know the rate of -- and I wish I 23 could pronounce it -- myocarditis currently in the 24 age 12 to 39 male? Do we know?

MS. RICCHIUTO: Object to form.

Page 118

1 A We should be able to find that. I'm not aware of

2 those numbers. The limitations there,

3 unfortunately, are going to be reporting.

4 Q Well, and we're not testing for it, right, either?

5 I mean, we're not surveying every male that has

6 been vaccinated to find out if they have this.

7 A Right.

Q We have to rely on self-reporting. 8

9 A Right.

Q And as I understand it, that went through the VAERS 10

11 system?

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A Yes. Vaccine event reporting, yeah.

13 Q And isn't it true that the estimate is 10 percent

14 reporting per, you know, for whatever have been

reported, that the reporting rate, because it's a

16 passive system, is about 10 percent?

A I don't know that. I would expect a wide range in

18 possibilities there.

19 Q But, you know, 10 percent is perfectly plausible;

right? It's only 10 percent?

21 A I don't know.

MS. RICCHIUTO: Object to form.

23 Q 69. The second line at the end, "there is no proof

24 of causation between vaccination and myocarditis."

25 A Sorry.

## Page 119

Q I just read that statement.

2 A You're on 69?

3 Q It's the second line at the end of the line.

A Oh. I gotcha.

5 Q And there is --

6 A Gotcha.

7 Q Okay? And there is no proof. How do you judge

8 causation?

9 A Yeah. I think this is extremely difficult area in

10 order to be able to define. I think it needs

11 robust large quality data, a biological

12 plausibility, and, you know, molecular biochemical

13 evidence to suggest that there's a pathway in which

14 this makes sense.

15 Q What do researchers use to measure causality?

16 A What do they use to measure causality?

17

18 A So causality is a statement that you can make about 19

the burden of evidence that's available.

20 Q Well, what criteria would they use to determine if

21 there's causality?

22 A I just talked through it, I think. So there needs

23 to be biologic plausibility. There needs to be

24 molecular basis for it. There needs to be large

25 data that associates -- that creates a strong association between the disease processes.

Page 120

2 Q Are you familiar with the Hill's criteria for

3 causality?

4 A That sounds familiar.

5 Q I mean, are you?

6 A Yes, sounds familiar. I have heard the name Hill's

7 criteria. Could I recite it to you right now?

8 Probably not.

9 Q Did you use the Hill's criteria to make this

10 statement that there's no proof of causality?

11 A No proof of causality has been cited in any of the

12 literature to date. The association has been

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Q Yes. But I'm asking you about your statement. You

15 said --

16 A I did not apply Hill's criteria. I used expert

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18 Q Okay. So you have no opinion on that other than

what maybe the CDC said?

20 A No, I'm very willing to acknowledge that it may be

21 causal. However, I think right now, based on

22 everything that I've seen, is that we have an

23 association, and have to be very cautious with

24 association until those criteria have been met.

At this point, to me, I think that it's still

**USDC** 

INI/NI	D.case 1:21-cy-00238-DRI -SLC doc	ument 31-29 filed 07/12/21 page 31 of 42
<del>- 1 - 11 - 11 - 11 - 11 - 11 - 11 - 11</del>	Page 121	Page 122
1	such small numbers, in order to be able to pull out	1 the COVID virus on death, what's the justification
2	causality by whatever criteria you want to use is	2 for claiming that it was a COVID death, if you
3	probably going to be difficult to do.	3 don't know?
4	Q By the way, did we change did they change death	4 A I think there's very clear cases where COVID leads
5	reporting with respect to COVID-19 from, I forget	5 to ultimate demise.
6	now the exact, the word, from because of or due to,	6 Q I know, but you were just talking about when we
7	I forget what it was, to with, from or with? I	7 don't know. That's what you were just talking
8	think that was the change.	8 about. When we don't know.
9	MS. RICCHIUTO: Foundation.	9 A Sure.
10	A Yeah, I don't know the exact answer for it, and I	10 Q But those are being claimed that. What's the
11	think that that question was handled different by	11 justification for that?
12	different groups. So I don't know where it landed.	12 A I didn't make any justification there. I think to
13	Q Because if you die with COVID, that doesn't mean	13 me it's helpful to know both.
14	it's caused by it.	14 Q Sure.
15	A I don't think we know that for sure. In theory,	15 A It would be nice to know which the provider thinks,
16	that's possible. But I think we're still learning	because, right, this is all coming from, I'm
17	about the associations with COVID.	16 because, right, this is all coming from, I m  17 assuming from death records. I would like to know
18	That's why there's this huge political debate	The second secon
19	about this, in my opinion, is that there's still a	positive. I'd also want to know how many of those
20	lot of uncertainty related to people dying with	deaths were where the provider assessed that the
21	COVID versus of COVID, because we don't know the	21 COVID was not associated with their ultimate
22	extent to which the virus actually affects other	demise. And it's probably going to be somewhere in
23	organ systems and might throw things out of whack.	the middle, would be my guess, so I would like to
24	Q But if we don't know, then what's the justification	24 know the gradient.
25	for claiming it? If you don't know the effect of	25 I don't think there's a huge difference in
	Page 123	Page 124
1	those numbers, to be honest with you, when I've	1 understand it.
2	looked at them historically. But, in general, I	2 A Yep.
3	think that any sort of data gradient that we can	3 Q Is this reliable, do you think?
4	get there, or range that we can get there, is	4 A It's a reliable study looking at an unreliable
5	probably helpful in the ultimate analysis.	5 system. I do not think that the VAERS system is
6	Q Oh, let me just mark Exhibit 22.	6 reliable.
7	(Deposition Exhibit 22 marked.)	7 Q Okay. In what way is it not reliable?
8	Q This is, for your information, Hill's Criteria for	8 A It's a passive reporting system from lay
9	Causality, which	9 individuals. So if someone got hit by a car and
10	A Take another look at this. Thanks.	they recently had a COVID vaccine, that would hit
11	Q I'm advised is the gold standard for trying to	the system if it was reported.
12	figure out causality, but you may enjoy reading	12 Q Are you aware that most of the reporting is done by
13	that.	12 Q Are you aware that most of the reporting is done by 13 healthcare providers?
14	A Yeah, I think I mentioned a lot of these things.	1
15		, ,
	Q There you go.	15 reporting. It's not validated through studies.
16	A Sounds familiar, that I just said it.	16 Q True. But it's not done by laymen. It's done by
17	Q Some of them, uh-huh. All right.	17 healthcare providers.
18	Let me show you what's been marked as	18 A Some are done by healthcare providers but a large
19	Exhibit 23. (Deposition Exhibit 23 marked.)	percentage are done by not large percentage but     a percentage anyone can report to VAERS.
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Q Are you familiar with this study?

Q Okay. It purports to measure COVID vaccine death

reports from the VAERS system database, so it

analyzed that data up to April 2021, as I

A Yes, I've seen this before.

Q So in analyzing the situation for IU's

determination that they're going to impose a COVID

VAERS system and the information available there?

A I would never recommend consulting the VAERS system

vaccine mandate on students, did they consult the

Page 125 1 since it's unconfirmed data. 1 is no strong data for or against vaccination after 2 Q I know. But did they? 2 natural infection." Do you still stand by that 3 A Did which group consult? 3 statement? 4 4 Q The committee that made the recommendation. A To my knowledge, there has not -- since I put this 5 5 A So you're asking about what the deliberations were together, there has not been any other literature 6 and the discussion to make the vaccine mandate. 6 that's come to my attention that has refuted that, 7 7 O No, I'm asking about the data that was consulted. so I still stand by that. 8 A We looked at all relevant data to make the decision 8 Q As I understand it, IU requires students that have 9 9 on the vaccine mandate. had COVID infection to get vaccinated; correct? 10 Q Is VAERS information relevant? 10 A That is correct. 11 A I do not any think that the VAERS information is 11 Q And you acknowledge that there is no strong data 12 12 relevant. As to whether or not anyone on the for that requirement. 13 committee looked at this, I can't speak to that. I 13 A I think that there's the data that suggests that 14 14 don't know what they looked at. There was a group it's beneficial, but as far as that population, so 15 15 a population that has had COVID and then gets the discussion amongst professionals. 16 The issue with this VAERS data, if I may, is 16 vaccine, I don't think there's really strong data 17 17 that says, yet, that the vaccine adds extra there would have to be some sort of explanation on 18 why we weren't seeing that in the trials, why we 18 benefit. 19 19 weren't seeing that same mortality rate in the There is data that I think is very supportive 20 20 trials. There's a huge difference between the that I highlighted earlier that we previously 21 percentages associated with the study and what 21 talked about related to the breadth of the immune 22 we're actually doing when we're watching the 22 response in the vaccine versus natural infection as 23 23 patients in a systematic controlled fashion. well as the antibody levels in vaccine versus 24 Q Turn to page 18, paragraph 71. You make a rather 24 natural infection. 25 25 categorical statement here, if I may, saying "There I personally feel like that is adequate data Page 127 Page 128 1 with very, very low risk of harm, to suggest that 1 strong evidence either way. 2 2 the bigger immune response you can get from this is A There's just uncertain --3 3 MS. RICCHIUTO: Object to form. 4 4 A It's just uncertain in the medical literature right We also talked about previously how if you get 5 5 infected, that's essentially just another booster 6 you're getting, and more boosters is probably 6 Q I think we already looked at the Raw's, Rachael 7 7 better, for any sort of infection, and in Raw's paper. 8 8 particular for COVID, and we know that from looking A I don't think we've discussed it. 9 9 at people who don't mount a strong response to Q Oh, okay. 10 start out with. 10 A Oh, we have. No, sorry. Was this the same one? Q But you're still adherent to this statement; right? 11 11 Are you talking about the one that I'm referencing 12 A Yeah. I think what I would really like to see in 12 in 74? 13 order to say that there's strong data for -- I 13 Q Yes. Of course I don't see a cite to that. 14 14 don't think there would be strong data against, but A Yeah, yeah, yeah. Because McCullough referenced 15 what I would expect to see for strong data for 15 it. 16 would be evidence of improved immune, either 16 O Right. 17

duration or breadth of coverage when you look 17 A It was his reference. 18 specifically at immunized patients, infected and Q So we've already -immunized patients in real world. 19 A You're right. This is the same, yeah. 20 Q Also on page 18, No. 73, with all due respect, you Q Okay. I thought it was. use some very vague, you know, equivocal words 21 MS. RICCHIUTO: For the record, that's 17. 22 here. MR. BOPP: Thank you. 23 A Okay. Q All right. 77. "Using a serologic test to equate Q Entirely possible, may be, et cetera. This 24 to immunity is not evidence-based and not reflects what you were just saying, is there's no 25 recommended by the CDC." You stand by that

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Page 130 Page 129 1 statement? 1 (Discussion held off the record.) 2 A I do. 2 So the CDC recommends antibody testing, which 3 Q All right. You cite this article of the CDC. Let 3 is serology, in two clinical situations. One is if 4 4 me show you what's been marked as Exhibit 31. you have a high pretest probability for 5 5 (Deposition Exhibit 31 marked.) coronavirus, but you've had two serial PCRs, which 6 Q And the way I read this CDC recommendation is that, 6 is the gold standard for diagnosis, that are 7 that antibody test may not show if you have a 7 negative. 8 current infection. 8 So it's a way of trying to get a positive to 9 9 A Correct. decide if someone needs treatment. Because your 10 Q Well, your statement is way broader than that. 10 pretest probability is so high, even as 11 A What do you mean? So to --11 problematic, potentially, false-positive test has 12 Q Because of what they're saying is you need to wait 12 higher positive likelihood ratio, or higher post 13 13 a week or two, or week to three, okay, before test probability if it's positive. 14 14 antibodies are developed, and then you take the The other situation is with multisystem 15 test, and it will be valid; correct? 15 inflammatory disease in children, which is because, 16 A So what -- incorrect. So what the CDC is referring 16 again, the syndrome, just by questioning the to, and they delineate this further, is that 17 syndrome, leads to a high pretest probability. So 17 18 there's high-risk for false-positives and 18 you had someone with previous COVID infection and 19 19 false-negatives. And that's the main problem with you have a syndrome that fits with this 20 antibody and why it's not clinically super helpful 20 inflammatory syndrome, the positive tests is more 21 outside of very specific situations. 21 reliable in those situations because of your 22 The CDC recommends antibody testing in two 22 pretest probability. 2.3 scenarios, where you have a very high pretest 23 The problem is in the general public right now, there's -- there's so much variation between 2.4 probability of it being COVID, but you have 24 25 negative PCR serially. 25 the antibody platforms that false-negatives and Page 131 Page 132 false-positives occur to the extent that if you are 1 1 that we're using for diagnosis. 2 2 negative, I couldn't really tell you for sure if O Right. 3 you are actually never -- if you are actually COVID 3 A We cannot comment on a false-positive rate because 4 we don't have a true positive. We don't have a 4 immune. 5 5 gold standard. And that's a problem with PCRs in If you are positive, I also couldn't really 6 tell you with good reliability that you actually 6 general, and with COVID diagnostics in general, is 7 7 saw COVID and not another maybe seasonal there's not a true gold standard for diagnosis, so 8 coronavirus that created false reactivity. 8 we're forced to use the most sensitive test, which 9 9 is the PCR, with the knowledge that some of these So that's what's led to hesitancy from the 10 CDC's perspective, is using this as a primary 10 could be false-positives. 11 diagnostic. Otherwise it's much easier to use than 11 That's a problem that we've had in the past 12 a nasopharyngeal PCR. It's a pain to get the 12 with PCR tests in general, is that it picks up a 13 13 little bit of DNA, or RNA in this case; it nasopharyngeal PCR. So if we could use this as a 14 14 diagnostic, even as a delayed perspective, we would amplifies it to make it seem like you've got a 15 have a really good assessment of what our true 15 positive, but there's really not a whole lot of 16 16 population protection was. virus around, or it might not even be infectious 17 Q What are the false-positives with current testing 17 virus. 18 that IU is mandating? 18 Q What's the rate of false-positives? A We don't know even in the literature because 19 A For PCRs? 19 20 20 Q To determine whether or not you have COVID. there's no gold standard for the diagnosis of 21 21 COVID. I can't comment on --22 Q The testing that is being required for people that 22 Q Well, do you have a range? Are they estimating a 23 23 get an exemption, or mitigation testing, what is 24 the false-positives for that? 24 A You can't get -- you cannot get false-positives and 25 A Gotcha. So we're talking about the PCR testing 25 false-negatives unless you have a true gold

Page 133

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1 standard. So a lot of these serologic tests are 2 being compared to PCR. And that's the functional 3 gold standard right now, but we don't know what the

4 actual true best test is for infectivity. Is it

5 viral culture? Is it viral antigen? Is it PCR?

6 We just don't know.

> And in the setting of a pandemic, we want to use the most sensitive test and act on the most sensitive test because we would rather over isolate and over quarantine as opposed to under doing it in

11 order to curb the spread. 12

Q On page 19, paragraph 79, there's -- are you familiar with a report that -- as to the VAERS system that 83 percent of the reporting persons are

15 either doctors or nurses?

16 MS. RICCHIUTO: Object to foundation.

17 A No.

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Q Page 20, 83, please. 18

19 A Uh-huh.

20 Q Who is the British health regulator that you're

21 referring to?

22 A I don't know his name, but the concern from

23 Dr. McCullough's declaration in paragraph 48

24 brought up a statement from the UK that seemed to

25 imply that even the UK government or Page 134

1 representatives of the UK government felt like the 2

side effects of the vaccine made it nonsafe, but

3 it's been reviewed by their appropriate governing 4 agencies and decided that it's still beneficial to

5 give the vaccine.

So I'm not sure what position the British health regulator serves in the UK, but they are still vaccinating there despite his concern in 48.

9 Q Now, of course there's a name at the end of your 10 citation here, who is --

11 A Tess Lawrie was the individual that brought up that 12 concern that said these vaccines have too many side

13 effects and are unsafe. Her concern was reviewed 14 and they've continued to vaccinate in the UK.

15 Q Let me show you what's been marked as Exhibit 33. 16 (Deposition Exhibit 33 marked.)

17 Q Is that the report you're referring to?

18 A Yes. This looks familiar.

19 Q By Lawrie that is referred to in paragraph 83?

20 A Yeah, I believe so.

21 Q Okay. Now, go to paragraph 84.

A My paragraph 84?

23 Q Yes, please. They're doing two forms of testing.

24 Well, maybe more, actually. Probably three.

Randomized mitigation testing; is that correct?

# Page 135

A So it might help to hear the rest of the list, 1

because this is in flux on what we're going to end 2

3 up doing. That's probably changing on how much

testing and what type of testing we're going to be

5 doing.

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6 Q The three that I understood: Mitigation testing,

7 which is randomized.

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9 Q Testing of people that are symptomatic.

10 A Correct.

11 Q Which you're in charge of.

12 A That's my jurisdiction. Yeah, yeah, yeah.

13 Q And then twice-a-week testing of anyone that gets

an exemption, either the medical or the religious

15 exemption.

16 A So --

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17 Q And I don't know what to call that.

18 A We're calling that, that's the mitigation testing 19 aspect, which is twice per week for those who have

20 not submitted to vaccine -- or sorry. Who have --

21 are not vaccinated.

22 Q Right. Right.

23 A And then there's surveillance testing, which is

still under debate, to be honest with you, but it's

25 testing of the general population that has been Page 136

1 vaccinated, randomized, to see if we're seeing 2

breakthrough, and that's optional.

And then there's symptomatic testing, you're

correct. Those are the three strategies.

5 Q Okay. Now, what -- are the results of those tests, 6 and I don't mean identifying individual students,

7 but I mean the results of the tests accumulated,

8 are they going to be shared with anyone like the

9 researchers, the CDC, whatever?

10 A The only intent of those numbers are for public

11 health purposes.

12 O I understand that, but that's not what I was asking

13 you. I was asking you who outside of -- who is 14

going to receive access to that information? 15 MS. RICCHIUTO: Objection. Lack of

16 foundation.

17 A Only the individuals that need that data for public 18

health purposes. Q Well, that could be a million people all over the

20 world. 21 A Right. We aren't consenting students for use of

22 their numbers or their data results. We have no 23 plan on publishing or submitting their data to

24 researchers.

Q Who are you referring to when you say to public

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Page 137

1 health people? Who are you referring to?

2 A The medical response team. The people who are 3 doing the contact tracing. So all the positives

4 have to be reviewed by people to say, hey, there's

5 an outbreak at the dorm. The people who need to be

6 able to respond to the outbreak on the dorm need to 7

know the numbers.

Q All right. That's good. Who else?

9 A Leadership, to see just global what the numbers

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11 Q But that's not for anybody at IU or any other place 12 for research purposes.

13 A Not -- no. I mean, that's not -- you know, they 14 would have to go through a separate IRB. They

15 would have to go through a complete separate

16 process in order to obtain that data.

17 Q I'm just asking whether you are doing that.

18 A We are absolutely not doing that. We've had that 19 data for the last year and haven't done anything of

20 the sort.

21 Q Okay. 85. Your second sentence there was really

22 curious to me. While the WHO recommended that 23

vaccinating children was less urgent than adults, 24 they still recommend the vaccination.

25 A This was in response to a very specific comment. Page 138

MS. RICCHIUTO: Do you have a question?

Q Yeah. What did you mean by that?

3 A This was in response --

MR. BOPP: Obviously he understood.

5 A This is in response to a very specific comment from

6 Dr. McCullough that said that the WHO said that 7 children shouldn't be vaccinated. That's actually

8 not accurate. The WHO said that if we have to

9 prioritize vaccination, we need to prioritize

10 vaccination -- if we have a limited supply of 11 vaccine, we need to prioritize those who are at

12 higher risk for bad outcome, which children are not

13 the highest risk.

14 So if there needs to be a triage strategy, 15 that triage strategy should start with a whole list 16 of lower, but it didn't say not to vaccinate

17 children or those who were available to get the

18 vaccine, so that would be 12 and up at this point. 19

What they said was that if there's limited resources, then we should focus on those that are

21 highest risk first.

22 Q I was also curious what you said, that they're not

23 at the highest risk, children are not the highest

24 risk. A more accurate statement, wouldn't it be, 25

that they are at the least risk?

Page 139

MS. RICCHIUTO: Object to form.

A I think we have to define children first, and I

3 think we have to decide what the risk is. So it's

4 just --

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5 Q Well, in relationship to older people, which is the

way you were describing it, it's astronomically

7 different; right? It's like 600 times more

8 riskful.

9 A I totally agree with what we had talked about

10 before, where, as it relates to mortality,

11 adolescents, college-age groups are at a much lower

12 risk than older individuals. I think they're

13 probably at higher risk than individuals who are

14 younger than a college-age group.

Q Slightly? Yes, agree with that.

16 A And probably even a higher risk than those who are

17 younger than a grade school age group, so children.

18 Not children, but babies. So I don't think they're

19 the lowest risk. I think they are very low risk

20 though.

21 Q Okay. Let's go to your Conclusion, 87. In the

22 second line, you're referring to "among our

23 students and our communities." What are you

24 referring to when you say community?

25 A I would say the area in which the education occurs. Page 140

So that's going to include faculty and staff,

2 that's going to include the county that comes in,

3 that's going to include the contractors that come

in that aren't IU constituents. Anyone that

5 potentially interfaces with our students or our

constituents.

Q So people in Bloomington. People who live in

8 Bloomington, work in Bloomington.

9 MS. RICCHIUTO: Objection. Misstates the 10 testimony.

Q Is that part of the community you're referring to?

12 A I would say that anyone who comes into contact with

13 our students. So that would include the people who

14 live in Bloomington that come in contact with our 15 students.

16 Q And there's a lot of those. I went to school 17 there; I came in a lot of contact, you know.

18 So don't you think a more effective strategy

19 for protecting people in the Bloomington community

20 as opposed to -- would be that they be required to

21 be vaccinated? Because you would, number one, get

older people that have an astronomically higher

risk of adverse effects by COVID infection, and

they would get more benefit from it as a result.

Isn't that -- if you were thinking about a public

Page 141

health strategy for Monroe County, isn't the safest and the most effective would be to require the

residents of Bloomington or Monroe County to be -require them to be vaccinated, not IU students?

MS. RICCHIUTO: Object to form. Compound. Calls for speculation.

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A To me, I want as many people to be vaccinated as possible, but I can only influence policy where I have control of influencing policy, which is advising the IU leadership.

The effect to the community, honestly, is secondary to the -- sorry. The benefit to the community is secondary to the benefit that we perceive of being the entire IU constituent population.

And even though the individual risk to an average college-age student is low, we have a community that we need to serve, and providing a herd immunity threshold and still allowing people to get back to classes and, you know, get in-person learning, get into laboratories safely, requires a protective bubble to be around those individuals, whatever the number is, that can't mount the effective immune response.

So, unfortunately, if it were 100 percent up

Page 142

to me, I would advise every single individual to get the COVID vaccine if they did not have an

3 exemption that -- or a contraindication that was

listed by the CDC or has been proven by the data.

At this point, though, the only control that

At this point, though, the only control that we have over the system as medical advisors is for advising those who set policy for the school.

Q All right. Well, thank you. Oh, wait, wait, wait.
 One other thing here. Make our record complete.

Let me show you what's been marked as Exhibit 9.

(Deposition Exhibit 9 marked.)

Q Is this the McCullough report that you were asked to write a report to refute?

15 A Yes.

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Q Go to page 4, his curriculum vitae. Let me showyou what's been marked as Exhibit 10.

18 (Deposition Exhibit 10 marked.)

19 Q Have you reviewed his curriculum vitae,

Dr. McCullough's?

A I perused his very large curriculum vitae.

Q It is large. And now look at paragraph 11.

23 A On his curriculum -- oh.

Q No, on page 4 of his report, No. 11.

25 A Got it.

Page 143

1 Q Okay. Have you read that paragraph?

2 A I'll read it now. Yep.

Q Do you have any doubt that he is an expert on

COVID-19 virus and its treatment?

5 MS. RICCHIUTO: Object to the extent it calls 6 for a legal conclusion.

7 A I have serious doubt.

8 Q You do?

9 A Yeah.

Q Are you aware that he has published the leading study in the world on the treatment of COVID-19?

MS. RICCHIUTO: Object to foundation.

A I would have to review that study, but based on his testimony here recommending evidence that isn't actually evaluated or suggested by our governing epidemic control agency, the CDC, I'd have major doubts related to that being the leading study in the world. Anyone who is recommending hydroxychloroquine at this point has their finger way off the pulse.

Q I thought you testified earlier that you just don't accept whatever the CDC says. In fact, you have critiqued what they say because you looked at the underlying data.

A Right. And in regards to the hydroxychloroquine,

Page 144

all of their statements are backed by data that is well done, high quality. And I think the treatment algorithms, not only have I arrived at independent conclusions about that, but the consensus medical opinion has arrived at common conclusions.

Q Do you think you're more of an expert than he is?

MS. RICCHIUTO: Object to form. Foundation.

A I think my self-assessment didn't come into this at all. I think that everything needs to come back to the data. My personal assessment of the data is what my statement is based off of.

I have not met Dr. McCullough, I haven't had a chance to discuss these issues with him, but based on his statement I think there's enough inconsistencies with the medical literature to suggest that he does not have a good grasp on what the current approach to treatment of COVID or the pathophysiology and epidemiology of the virus actually is.

I acknowledge he has more publications, but I was not able to publish as much as I would have liked to during the COVID pandemic because I was busy treating COVID patients, working through policy with the hospital, taking care of sick people.

JSDC\_IN/ND\_case 1:21-cv-00238-DRL-SLC\_document 31-29\_filed 07/12/21\_page 37 of 42

IN/N	D case 1:21-cv-00238-DRL-SLC doci	ument	t 31-29 filed 07/12/21 page 37 of 42
	Page 145		Page 146
1	Q Dr. Beeler, you described your two publications	1	COVID. Infectious disease was consulted on almost
2	that you are part of, that what you did was edit	2	all of those. So I can't speak to what he was
3	the manuscript.	3	doing, but I know the realm of expertise of
4	A Right.	4	infectious disease physicians versus cardiologists.
5	MS. RICCHIUTO: Objection. Misstates the	5	If I were if I were being asked
6	testimony.	6	certainly COVID is new. Anyone could catch up on
7	Q I can get law clerks to edit manuscripts.	7	COVID literature. But if I was asked for the if
8	MS. RICCHIUTO: Objection. Argumentative.	8	I was asking for an opinion and if I were being
9	That's not a question, Jim.	9	asked to train up on echocardiography; right?
10	A I would have loved to write more papers on my own,	10	Yeah, I could probably learn echocardiography,
11	but I was taking care of sick patients every day, I	11	sonograms of the heart, but would you really want
12	was making policy, I was reviewing literature as	12	me doing that when I don't know the same amount of
13	opposed to having a lot of free time to sit down as	13	physiology as a cardiologist? I would want someone
14	a cardiologist and put together papers.	14	that has the background in those areas. Infectious
15	Q You think his paper is not based upon his treating	15	disease has the background in those areas where
16	of COVID patients?	16	cardiology does not.
17	A I think that the primary individuals responsible	17	MR. BOPP: I don't have any more questions.
18	for the treatment and approach to COVID in the	18	Thank you.
19	hospitals are the hospital epidemiologists and the	19	Do you?
20	infectious disease physicians.	20	MS. RICCHIUTO: I do.
		21	MR. BOPP: Good. Good. We still have time.
21	Q You don't even know what he was doing, do you?		
22	MS. RICCHIUTO: Object.	22	We were shooting for six.
23	A I would be very surprised if they were consulting	23	MS. RICCHIUTO: I know. I knew you could do
24	cardiology for care of COVID patients. ICU doctors	24	it, Jim.
25	and hospitalists were the main workforce related to	25	
	Page 147		Page 148
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1	EXAMINATION  DV MS DICCULUTO:	1	of your credentials?
2	BY MS. RICCHIUTO:	2	A Absolutely not. And I don't know any any person
3	Q I'm going to try to be brief, Dr. Beeler. We	3	that would have contributed to this. This looks
4	really appreciate you being here today.	4	like they just pulled data from some sort of area
5	Really quickly, I want to take you back to	5	and plugged it into a template.
6	Exhibit 2 that Mr. Bopp showed you. This is that	6	Q So if someone were interested in your credentials
7	CareDash Internet page.	7	with respect to COVID or anything else, is this
8	A Let me find that. Yes.	8	where you would direct them, Dr. Beeler?
9	Q Do you have any idea, like do you know anything	9	A Absolutely not.
10	about CareDash? Is this an authoritative document	10	Q Okay. Describe for me your credentials
11	or source?	11	specifically with respect to COVID.
12	A I have never honestly heard about this, but when	12	A So I am the medical director of infection
13	you Google yourself, there's a bunch of these	13	prevention for Indiana University Hospital.
14	websites that come up that have generic	14	Functionally that means I'm responsible for the
15	explanations related to your profession that	15	protection of the healthcare workers and each
16	usually is inaccurate.	16	individual patient that comes in, specifically as
17	Q So the bio that Mr. Bopp referred to on the	17	it relates to COVID.
18	CareDash site, that's not a bio that you wrote or	18	From the beginning of the pandemic, we were
19	contributed to; correct?	19	the main focal point for developing policy,
20	A Or correct, or anyone that I've worked with.	20	responding to numbers, developing infrastructure in
21	That makes no sense as a bio for an infectious	21	the hospital, and working with the multiple
22	disease physician.	22	different teams in the hospital in order to build a
23	Q Mr. Bopp also showed you Exhibit 3, which was a	23	response. That is part of it.
24	U.S. News summary. Is that the thing that you	24	The other part is as it relates to the medical
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specifically drafted as an exhaustive description

response team through IU. That is all that

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Page 149

Page 150

- 1 students, faculty and staff that develop COVID are 2 individually reviewed by our team, we developed the
- 3 policy with the IU Restart Committee, and then
- 4 implemented the policy as it was accepted after the

5 leadership.

- 6 Q Okay. Mr. Bopp expressed some concern about the 7 way that you had described your credentials, if you 8 will, in your declaration. Is there anything else 9 experientially that you would add to, you know, 10 help the judge understand why you are a person that
- 11 has credible relevant information on this subject? 12 A You know, there's not separate training in
- 13 coronavirus that is available. Everyone has been 14 building off of previous knowledge in order to gain
- 15 acumen in this area. I think that the other -- the 16 only things that I listed in there that are
- 17 applicable to this are the infectious disease board 18 certification.
- 19 Q And what's that process entail?

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- 20 A Infectious disease board certification requires 21 three years of internal medicine residency with 22 board certification in internal medicine. It then
- 23 requires -- I did two -- I did, actually, four
- years of internal medicine residency with an extra 25 chief residency year at Eskenazi Hospital. Then

went on to do an infectious disease fellowship for

- two years, which included research, and then became
- 3 a practicing infectious disease physician.
- 4 Q And you maintain that practice today; correct?
  - A I maintain that, yes.
  - Q I just want to ask you about a couple, a few other things that you said during your testimony. At one point you referred to I think a scenario where you were analyzing what could be possible with a static pathogen. Those are words that I wrote down that you said.

Can you explain what a static pathogen is --I'm going to ask you a compound question and tell you that it's one. What a static pathogen is and whether COVID is considered a static pathogen.

A So I think the context behind that discussion was related to questions about herd immunity thresholds and trying to understand if we're at herd immunity, if we were at herd immunity.

And the problem with herd immunity calculations is that it implies that the immunity that you develop yesterday will still be good three months from now. Immunity is very much based on whether or not the virus maintains its same shape, its same structures that our immune system

Page 151

understands, recognizes, and is able to mount a memory against.

If viruses are changing, or any pathogen is changing what it looks like, how the immune system interacts with it, then that could potentially develop evasion of the immune system and therefore a change in how the previous immunity is going to relate to the new version of the virus.

So in the context of COVID, the reason that's pertinent is because COVID continues to mutate, it's mutating relatively rapidly, and it's mutating in areas that are of high consequence. And those areas are particularly in the areas that our immune system likes to target for the immune response, likes to use in order to get protection, which is receptive binding domain of the virus, as well as the spike domain of the virus.

If those weren't mutating at all, I would consider that a static virus, and I would trust your immunity three years ago to your immunity today. That's like measles, mumps, rubella. We get vaccines in childhood. Those viruses aren't mutating, they're not changing, and because of that your immunity yesterday is as good as your immunity today, assuming nothing else changes as it relates

to immunosuppression.

Page 152

So the reason that COVID is different from measles and mumps and rubella, things like that, is because it does not mutate at the same rates and in as consequential areas as coronavirus is mutating.

The areas of change in coronavirus are in areas that are of high consequence to the immune system -- or the ones that come to public attention are called variants of high consequence, or variants of concern, are areas where we have mutations in places that could potentially lead to either failure of the immune response to be durable or failure of any of our individual therapies to target coronavirus.

- Q I am clearly not an infectious disease specialist but I have been reading and seeing things about the delta variant, Dr. Beeler. Are you familiar with that?
- 19 A I am.
- 20 O Is that a variant of concern?
  - A Yes, it's been labeled as a variant of concern from the CDC. I think it definitely is concerning for a number of reasons.

One, the mutations in the spike domain seem to imply, just based on their locations, that the

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Page 153

vaccines might not be as effective. And actually we're seeing that play out. There was actually just a release I think yesterday about how Israel,

3 4 due to the fact that they've switched over to delta 5 variant, is seeing a higher number of COVID

6 infections despite having a very large percentage 7

that has been vaccinated.

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So I think that the jury is still out on the consequences of some of these variants. We do know that vaccines still work; it just might be to a lesser degree on this. We don't have good data on what this means for natural immunity. And it's a very real possibility given the narrower breadth of antibody response to natural immunity that if there's not a variant now, there could be a variant in the future that will allow repeat infections and more morbidity to develop.

The only way to effectively eliminate variant selection, or creation of more mutations, is to squelch the virus, to get to herd immunity, to get to zero infections, because each new person that the virus infects, it's an opportunity to mutate. And evolutionary principles, life finds a way, that the virus will find ways to get around our stressors if it's not completely eliminated.

Page 154

Page 156

So from a public health perspective, since there's so much uncertainty in the future, the safest thing, given all the damage that it's created so far to America and the world, is to get things to zero as fast as we possibly can. It's a race between our global immunity, our herd immunity, and the ability of the virus to mutate. Q One of the things that you were asked about, and I think you said this a couple of times, this idea that more boosters is better. Do you remember that testimony? A Yeah.

Q I want to make sure that there's not any misunderstanding. Is it -- is it good or helpful for individuals to be infected with COVID just generally? Is that a good thing to have happen to

A It's a bad thing to have happen to you. I would say that the difference between bads between different groups varies, as we discussed. But, in general, it's much safer to become immune to the virus through vaccination than through natural infection because there's a lot of consequences to natural infection that we know, and there's even more that we probably don't know.

Page 155

concepts?

And I would have a much higher suspicion just based on previous analogies to other viruses of

long-term complications from a chronic viral

4 infection, or a resetting of the viral infection to 5 the homeostasis of the body than I would for a 6 vaccine.

(Discussion held off the record.)

I would have much higher concerns for long-term complications of a viral infection, a chronic viral infection, than I would for long-term complications of a vaccine based on historical precedent with other viruses.

Q You gave some testimony about contraindications to receiving the COVID vaccine. Do you remember that testimony?

16 A Yeah.

> Q And I think you said at one point -- I think you and Mr. Bopp may not have been exactly on the same page, and at one point you said you think there may be a semantic issue, and I want to try to clear

21 that up if I can.

> Is there a difference between a contraindication that's been specifically identified and, for example, another clinical reason to delay the vaccine? Are those the same

A That's good. And I apologize that I didn't clarify that earlier. We call them two separate things. We call them exemptions, meaning that you never have to get the COVID vaccine because it's never going to be a good idea for you because you have some sort of threatening relationship to the vaccine, and that's usually going to be allergy. That's almost always going to be allergy.

The only -- and so the other group is what we call deferrals. And like you mentioned, those are patients who could get the vaccine, they don't have a strict contraindication, like the vaccine's not going to hurt them, but there's probably maybe a more opportune time to consider it.

And the way we handle that behind the scenes is that we set a date to reapproach the individual and say, "Hey, you've told us that this date was going to be a good time for you to get the vaccine. Is it still a good time for you to get the vaccine? Can we help you get vaccinated?"

Q And let's drill down that a little bit more, because that doesn't -- you're not, in that example, Dr. Beeler, I take it you're not talking about like somebody's schedule when they're

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Page 157

Page 158

1 available to get the vaccine. 2 A No, this would be based on maybe a therapeutic 3 schedule. So, for instance, if they were getting 4 high dose steroids right now or chemotherapy 5 related to a cancer, we would probably set that 6 date with their physician out for when they were 7 done with their chemotherapy and their immune 8 system is reconstituted.

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So that's the most common scenario that we're getting, but there are going to be some people that become functionally adept, exempt, because they've got a chronic condition that always needs immunosuppression and they can never come off it. And even though we set an end date, it's just a time to revisit to see if anything has changed and if they might be now safer to get the vaccine or might be more likely to develop a healthy immune

response to the vaccine. Q So in your example, just to hopefully button up the terminology, in your example, someone who has a medical deferral basis because they're currently in chemotherapy, for example, does that make chemotherapy a contraindication for the vaccine? A No.

25 Q One other place where you and Mr. Bopp maybe had just a smidge trouble understanding each other was this idea about who can and can't mount an immune response. And my perception, just sitting in the room, was that perhaps Mr. Bopp's view was that there are people who are a yes or a no, you know.

5 6 I can mount an immune response but Dr. Beeler 7

> I thought I understood your testimony to suggest that it might be more nuanced than that in terms of there are yes people over here and there are no people over here.

So that's a long lead-up to say can you say more about, and try to clarify the record on this concept of whom could or could not mount an immune response, and are those fixed categories where a person is labeled yes or no, or is it something

A Yeah, I think it is a lot of gray area. And the only other thing that I would mention is that it's not binary. There's going to be a gradient. So, for instance, you know, the 60-year-old that mounts some antibody might have some protection. Maybe it's enough protection to fend off, I don't know, a certain amount of viral load. But that doesn't necessarily, if they get a higher viral load, means

Page 159

that they would be as protected.

And that's going to be different for each individual based on the complex interplay between their comorbidities, their immune system, the other stuff they've got going on in their life.

Stressors can even decrease the immune response to vaccines.

So there's so many things that go into the gradients behind a healthy response versus a nonhealthy response, that change over time as well, that it's really hard to bucket people and give an exact number of what percentage are absolutely vulnerable at any given time.

I would say that, in general, that percentage is going to change over time. And that could change on a daily basis for some people depending on what else is going on in their lives, which is why I think vaccination is still worth it for those people, but also they need a little bit of extra help from people around them to make sure that they're also protected so they don't get infected while they're vulnerable.

Q So is it accurate, Dr. Beeler, do I understand you to be saying people could mount a different level of immune response, even a single individual could Page 160

1 mount a different level of immune response over 2 time?

3 A Yeah. Immunity wanes over time, and it depends on 4 what else is going on in their lives at the same 5 time. So it's very possible that even a healthy 6 person might not mount appropriate immune response 7

based on other things that might be going on at the 8

Q Okay. I think this is my last question.

10 You talked to Mr. Bopp about the exemption 11 criteria, and the communication that your team has 12 with physicians when they have submitted an exemption request on behalf of a student. Do you 13 14 remember that testimony? 15

A Yeah.

16 O And I want to make sure that the record is clear 17 about this. Who decides whether an Indiana 18 University student gets vaccinated?

19 A Whether an Indiana University students gets 20 vaccinated?

21 Q Yes.

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A The Indiana University student decides on the vaccine. Even though there's a mandate, it's just policy. So they do have options for other schools that they could go to, even though I would hate to

Page 161 Page 162 1 lose a constituent. And they have the option to 1 strong opinions on it regardless of what we say, 2 2 exempt. But, ultimately, they have to be the one we'll adhere to their recommendations. 3 to choose to adhere to that policy or not. 3 Q Correct. So there's no circumstance where Indiana 4 4 Q And there's no circumstances, are there, University makes the decision that a student will 5 5 get vaccinated. Dr. Beeler, where I think Mr. Bopp suggested that 6 IU was overriding the doctor, for example, and 6 A Correct. 7 7 requiring a student to get vaccinated. That does MS. RICCHIUTO: That's all the questions I 8 not happen, does it? 8 have. 9 9 A We work very closely with the physician, again, to **EXAMINATION** 10 10 BY MR. BOPP: tailor the response to what the -- what's best for 11 the patient. And the reason I keep responding that 11 Q Well, you make a decision that they will get 12 way is it's -- the most frequent scenario is we 12 vaccinated or they have to leave. 13 reach out to the physician, we say, "Hey, this 13 A We have a policy that says that they need to have 14 actually isn't a contraindication." 14 proof of vaccination or exemption. 15 15 They're, like, "Okay." Like, "I didn't know Q So that's a yes to my question. If they're not 16 that. I thought that it would be -- I thought it 16 willing to comply with the policy, then they have would be one." 17 17 to leave Indiana University. 18 So, you know, I think there's still a lot of 18 A They have to adhere to all Indiana University 19 19 misconceptions even in the medical community about policies. It includes that. 20 20 vaccination and about the COVID vaccine, because it Q Why don't you just answer my question, for heavens 21 is new and it's hard to keep up on all the stuff 21 22 that's changing. But we do try to work with them 22 MS. RICCHIUTO: Objection. Argumentative. 23 and do try and provide whatever evidence they need 23 Q I mean, it's such a simple question. 2.4 in order to try to make the decision. 2.4 MS. RICCHIUTO: You've gotten an answer to it. 25 But very frequently we will, if they have 25 Q If they don't comply with the requirement that they Page 163 Page 164 1 UNITED STATES DISTRICT COURT 1 get a vaccination and have not received an NORTHERN DISTRICT OF INDIANA 2 exemption, their only choice is to leave the RYAN KLAASSEN, JAIME CARINI, ) 3 university because they are not allowed to go to D.J.B. by and through his ) 4 class or do anything. next friend and father, Daniel G. Baumgartner, ASHLEE) 5 A There are pathways for which they can discuss with 5 MORRIS, SETH CROWDER, MACEY ) 6 POLICKA, MARGARET ROTH, and ) the medical response team, have their physician NATALIE SPERAZZA, 7 advocate for them. 7 Plaintiffs, 8 Q They've done all the discussion and you've said get 9 a vaccination and they say no, I'm not going to do 8 ) CASE NO. ) 1:21-cv-238-DRL-SLC 10 it. They will be virtually expelled by -- they THE TRUSTEES OF INDIANA 9 11 can't go to class and everything else; correct? UNIVERSITY, 10 12 A Yes. Those are the repercussions of the policy. Defendant. 11 13 Q Yes. So love it or leave it; right? Job No. 163715 12 14 MS. RICCHIUTO: Object to form. 13 14 I, COLE BEELER, M.D., state that I have read 15 A I don't know what that means. the foregoing transcript of the testimony given by me O You don't? 16 15 at my deposition on July 7, 2021, and that said transcript constitutes a true and correct record of 17 A Love it or leave it? 16 the testimony given by me at said deposition except as I have so indicated on the errata sheets provided MR. BOPP: Okay. No more questions. Thank 18 17 herein. 19 you. 18 19 20 MS. RICCHIUTO: Okay. COLE BEELER, M.D. 21 (Deposition concluded at 5:08 p.m.) 20 21 2.2 22 23 23 STEWART RICHARDSON & ASSOCIATES Registered Professional Reporters 24 One Indiana Square, Suite 2425 24 Indianapolis, IN 46204 25 (800)869-0873 25

USDC\_IN/ND\_case 1:21-cv-00238-DRL-SLC\_document 31-29\_filed 07/12/21\_page 42 of 42 Page 165 Page 166 STATE OF INDIANA IN WITNESS WHEREOF, I have hereunto set my 1 1 2 hand and affixed my notarial seal this 9th day of 2 COUNTY OF MARION 3 July, 2021. 3 4 4 I, Patrice E. Morrison, a Notary Public in and 5 5 for said county and state, do hereby certify that the 6 6 deponent herein was by me first duly sworn to tell the 7 truth, the whole truth, and nothing but the truth in 8 8 the aforementioned matter; 9 9 That the foregoing deposition was taken on 10 10 behalf of the Plaintiffs; that said deposition was Patrice E. Morrison, Notary Public 11 11 taken at the time and place heretofore mentioned 12 12 between 1:02 p.m. and 5:08 p.m.; 13 My commission expires: 13 September 28, 2025 That said deposition was taken down in 14 14 stenograph notes and afterwards reduced to typewriting Job No. 163715 15 under my direction; and that the typewritten 15 16 transcript is a true record of the testimony given by 16 17 said deponent; 17 18 And thereafter presented to said witness for 18 19 signature; that this certificate does not purport to 19 20 acknowledge or verify the signature hereto of the 20 21 deponent. 21 22 I do further certify that I am a disinterested 22 23 person in this cause of action; that I am not a 23 24 relative of the attorneys for any of the parties. 24 25 25